

Effects of Lorentz violation in superluminal theories

Mikhail Kuznetsov

In collaboration with Sergey Sibiryakov



Quarks International Seminar, Suzdal, June 2014

- Motivation: modified gravity theories
- A toy model with superluminality
- Instabilities and Lorentz violation
- Pathologies in stress-energy tensor
- Conclusions

cf. Alex Vikman talk on Wednesday

Covariant non-linear theory of massive gravity

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[\frac{R}{2} + m_g^2 (\mathcal{L}_2 + \alpha_3 \mathcal{L}_3 + \alpha_4 \mathcal{L}_4) \right] ;$$
$$\mathcal{L}_i = \mathcal{L}_i(\delta_\nu^\mu - \sqrt{g^{\mu\rho} \eta_{ab} \partial_\rho \phi^a \partial_\nu \phi^b})$$

de Rham, Gabadadze, 2010

de Rham, Gabadadze, Tolley, 2010

Covariant non-linear theory of massive gravity

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[\frac{R}{2} + m_g^2 (\mathcal{L}_2 + \alpha_3 \mathcal{L}_3 + \alpha_4 \mathcal{L}_4) \right] ;$$
$$\mathcal{L}_i = \mathcal{L}_i(\delta_\nu^\mu - \sqrt{g^{\mu\rho} \eta_{ab} \partial_\rho \phi^a \partial_\nu \phi^b})$$

de Rham, Gabadadze, 2010

de Rham, Gabadadze, Tolley, 2010

- Free of Boulware-Deser ghosts

Hassan, Rosen, 2011

- Provides self-accelerated cosmological solutions, $\Lambda = c(\alpha_i) m_g^2$

Gumrukcuoglu, Lin, Mukohyama, 2011

de Felice et al., 2013

Decoupling limit of covariant massive gravity:

$$M_{Pl} \rightarrow \infty ; m_g \rightarrow 0 ; M_{Pl} m_g^2 = \text{const}$$



Galileon theory

$$S = \int d^4x \left[\frac{1}{2} (\partial_\mu \pi)^2 - \partial_\mu^2 \pi (\partial_\mu \pi)^2 + \dots \right] ; \quad \partial_\mu \pi \rightarrow \partial_\mu \pi + c_\mu \quad \text{invariance}$$

Nicolis, Rattazzi, Trincherini, 2009

Decoupling limit of covariant massive gravity:

$$M_{Pl} \rightarrow \infty ; m_g \rightarrow 0 ; M_{Pl} m_g^2 = \text{const}$$



Galileon theory

$$S = \int d^4x \left[\frac{1}{2} (\partial_\mu \pi)^2 - \partial_\mu^2 \pi (\partial_\mu \pi)^2 + \dots \right] ; \quad \partial_\mu \pi \rightarrow \partial_\mu \pi + c_\mu \quad \text{invariance}$$

Nicolis, Rattazzi, Trincherini, 2009

Features

- Ghost-free
- Late time cosmic acceleration
- Alternative to inflation (Galilean Genesis)

Creminelli, Nicolis, Trincherini, 2010

Creminelli et al., 2012

One and the same feature of all these theories — presence of superluminal modes on nontrivial backgrounds

- Galileon

Nicolis, Rattazzi, Trincherini, 2009

- Massive Gravity

Burrage et al., 2011

de Rham, Gabadadze, Tolley, 2011

Superluminality itself looks not so bad...

One and the same feature of all these theories — presence of superluminal modes on nontrivial backgrounds

- Galileon

Nicolis, Rattazzi, Trincherini, 2009

- Massive Gravity

Burrage et al., 2011
de Rham, Gabadadze, Tolley, 2011

Superluminality itself looks not so bad...



Possible problems with causality



Chronology protection conjecture — there are **no closed timelike curves**.
Proven for some cases.

Mukhanov, Babichev, Vikman, 2007
de Rham et al., 2011

Another problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.
Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

Another problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.
Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

- Superluminality
- Problems with Lorentz notion of causality
- Scattering amplitudes do not satisfy S-matrix analyticity axioms

Another problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.
Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

- Superluminality
- Problems with Lorentz notion of causality
- Scattering amplitudes do not satisfy S-matrix analyticity axioms



Superluminal effective theories **could not be UV-completed** to local QFT or unitary string theory!

Plan

- Explicit demonstration on Lorentz invariance violation by quantum effects
- Calculation of stress-energy tensor VEV

Plan

- Explicit demonstration on Lorentz invariance violation by quantum effects
- Calculation of stress-energy tensor VEV

Toy model with superluminality in D=2

$$S = \int d^2x \left[\frac{1}{2} (\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2} \phi^2 - \frac{1}{4\Lambda^2} (\partial_\mu \phi \partial^\mu \phi)^2 + M \delta(x) \phi \right]$$

Plan

- Explicit demonstration on Lorentz invariance violation by quantum effects
- Calculation of stress-energy tensor VEV

Toy model with superluminality in D=2

$$S = \int d^2x \left[\frac{1}{2} (\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2} \phi^2 - \frac{1}{4\Lambda^2} (\partial_\mu \phi \partial^\mu \phi)^2 + M \delta(x) \phi \right]$$

Consider classical perturbations on a background of a static solution. It is enough to consider EOM without non-linear ϕ term.

$$\phi'' - m^2 \phi = -M \delta(x) \Rightarrow \tilde{\phi} = \frac{M}{2m} e^{-m|x|}$$

Consider quadratic action for classical perturbations $\phi = \tilde{\phi} + \xi$;
collect terms up to the order $\frac{1}{\Lambda^2}$

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi - \frac{m^2}{2} \xi^2 \right]; \quad Z^{\mu\nu} = \begin{pmatrix} 1 + \frac{M^2}{4\Lambda^2} e^{-2m|x|} & 0 \\ 0 & -1 - \frac{3M^2}{4\Lambda^2} e^{-2m|x|} \end{pmatrix}$$

Superluminality & classical stability

Consider quadratic action for classical perturbations $\phi = \tilde{\phi} + \xi$;
collect terms up to the order $\frac{1}{\Lambda^2}$

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi - \frac{m^2}{2} \xi^2 \right]; \quad Z^{\mu\nu} = \begin{pmatrix} 1 + \frac{M^2}{4\Lambda^2} e^{-2m|x|} & 0 \\ 0 & -1 - \frac{3M^2}{4\Lambda^2} e^{-2m|x|} \end{pmatrix}$$

$$\text{Dispersion relation: } \omega_\pm = \pm \sqrt{-\frac{Z^{11}}{Z^{00}}} k \quad \Rightarrow \quad \left| \frac{d\omega}{dk} \right| > 1$$

Group velocity **exceeds the speed of light.**

Superluminality & classical stability

Consider quadratic action for classical perturbations $\phi = \tilde{\phi} + \xi$;
collect terms up to the order $\frac{1}{\Lambda^2}$

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi - \frac{m^2}{2} \xi^2 \right]; \quad Z^{\mu\nu} = \begin{pmatrix} 1 + \frac{M^2}{4\Lambda^2} e^{-2m|x|} & 0 \\ 0 & -1 - \frac{3M^2}{4\Lambda^2} e^{-2m|x|} \end{pmatrix}$$

$$\text{Dispersion relation: } \omega_\pm = \pm \sqrt{-\frac{Z^{11}}{Z^{00}}} k \Rightarrow \left| \frac{d\omega}{dk} \right| > 1$$

Group velocity **exceeds the speed of light**.

Looking for a **physical difference** between static and boosted classical solutions

Classical instabilities = tachions arise as **det $Z^{\mu\nu}$** change its sign

$$\det Z_{boost}^{\mu\nu} = \det \Lambda^\mu_\rho \Lambda^\nu_\sigma Z_{static}^{\rho\sigma} = \det Z_{static}^{\mu\nu} < 0$$



The solution $\tilde{\phi}$ is **classically stable** in any frame.

Looking for a quantum instability

As Z^{00} change its sign in a boosted frame \Rightarrow Ghosts appear!

$$Z^{00} = 1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3\beta^2 - 1) e^{-2m\gamma|x+\beta t|} < 0$$



Critical boost factor $\gamma > \frac{\Lambda\sqrt{2}}{M} e^{m\gamma|x+\beta t|}$

Looking for a quantum instability

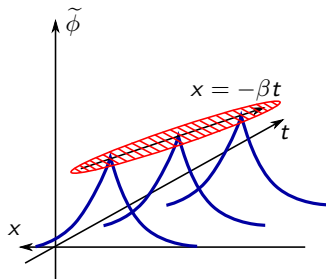
As Z^{00} change its sign in a boosted frame \Rightarrow Ghosts appear!

$$Z^{00} = 1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3\beta^2 - 1) e^{-2m\gamma|x+\beta t|} < 0$$

\Downarrow

Critical boost factor $\gamma > \frac{\Lambda\sqrt{2}}{M} e^{m\gamma|x+\beta t|}$

The trajectory of classical solution $\tilde{\phi}$
should be close to $x = -\beta t$



Quantize excitations $S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi \right]$ on the background $Z_{boost}^{\mu\nu}$

High frequency regime: $m_\xi = 0$; $Z_{boost}^{\mu\nu} = \text{const}$

Looking for evolution of negative energy modes

Add interaction with scalar field χ : $S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$

Quantize excitations $S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi \right]$ on the background $Z_{boost}^{\mu\nu}$

High frequency regime: $m_\xi = 0$; $Z_{boost}^{\mu\nu} = \text{const}$

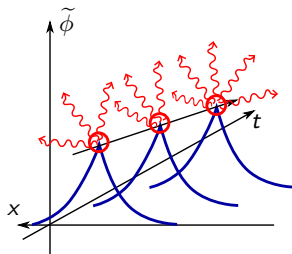
Looking for evolution of negative energy modes

Add interaction with scalar field χ : $S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$

Triples $\xi \chi \chi$ are created from vacuum as $Z^{00} < 0$

Required hierarchy of parameters:

$$m_\chi < m_\xi \gamma \ll k \ll \Lambda; \quad m_\xi \ll M; \quad g \frac{M}{m_\xi} \ll m_\chi^2$$



Quantize excitations $S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi \right]$ on the background $Z^{\mu\nu}_{boost}$

High frequency regime: $m_\xi = 0$; $Z^{\mu\nu}_{boost} = \text{const}$

Looking for evolution of negative energy modes

Add interaction with scalar field χ : $S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$

Triples $\xi \chi \chi$ are created from vacuum as $Z^{00} < 0$

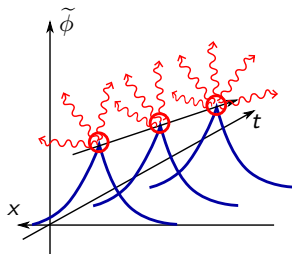
Required hierarchy of parameters:

$$m_\chi < m_\xi \gamma \ll k \ll \Lambda; \quad m_\xi \ll M; \quad g \frac{M}{m_\xi} \ll m_\chi^2$$

Background decay rate & energy loss rate

$$\Gamma \sim \frac{dE}{dt} \sim \frac{g^2}{4\pi m_\xi^2 \gamma^2} \lesssim \frac{m_\chi^4}{\Lambda^2}$$

Integrals saturated in IR



Lorentz invariance is violated!

Naive estimation for $4D$

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g'}{2} \xi (\partial_\mu \chi \partial^\mu \chi) \right]$$

This simulates coupling $\frac{g'}{2} \xi^2 \chi^2$ in $4D$ as it have the same dimensionality.

Naive estimation for $4D$

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g'}{2} \xi (\partial_\mu \chi \partial^\mu \chi) \right]$$

This simulates coupling $\frac{g'}{2} \xi^2 \chi^2$ in $4D$ as it have the same dimensionality.

Background decay rate

$$\Gamma \sim \frac{g'^2}{32\pi} \Lambda^2$$

Energy loss rate

$$\frac{dE}{dt} \sim \frac{g'^2}{32\pi} \frac{\Lambda^3}{m\gamma}$$

Integrals saturated in UV

This can be very high and probably could **destroy the classical solution**.

Expect a **divergence** of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

Below $\gamma_{critical}$: $T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi) = \text{finite}$, because of Lorentz covariance

Expect a **divergence** of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

Below $\gamma_{critical}$: $T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi) = \text{finite}$, because of Lorentz covariance

Looking for **accelerated** solution $\tilde{\phi}$

No need for external force, all we need is a **coupling to dynamical source**

$$\mathcal{L}_{int} = M\delta(x)\phi \quad \rightarrow \quad \mathcal{L}_{int} = \frac{G}{4} \left(\psi_{kink}^2 - v^2 \right)^2 \phi$$

Kink-antikink pairs are producing from vacuum and accelerating

$$\mathcal{L}_{\psi} = \frac{1}{2}(\partial_{\mu}\psi)^2 - \frac{\lambda}{4} \left(\psi^2 - v^2 \right)^2 - \varepsilon(\psi - v)$$

Expect a **divergence** of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

Below $\gamma_{critical}$: $T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi) = \text{finite}$, because of Lorentz covariance

Looking for **accelerated** solution $\tilde{\phi}$

No need for external force, all we need is a **coupling to dynamical source**

$$\mathcal{L}_{int} = M\delta(x)\phi \quad \rightarrow \quad \mathcal{L}_{int} = \frac{G}{4} \left(\psi_{kink}^2 - v^2 \right)^2 \phi$$

Kink-antikink pairs are producing from vacuum and accelerating

$$\mathcal{L}_{\psi} = \frac{1}{2}(\partial_{\mu}\psi)^2 - \frac{\lambda}{4} \left(\psi^2 - v^2 \right)^2 - \varepsilon(\psi - v)$$

Take effective metric in a form

$$Z^{\mu\nu} = \begin{pmatrix} 1 - t^2/\alpha^2 & -t^2/\alpha^2 \\ -t^2/\alpha^2 & -1 - t^2/\alpha^2 \end{pmatrix}; \quad S_{\xi} = \int d^2x \, Z^{\mu\nu} \partial_{\mu}\xi \, \partial_{\nu}\xi$$

Solve the EOM $\partial_{\mu}[Z^{\mu\nu} \partial_{\nu}\xi] = 0$ with an ansatz $\xi = \xi(t) e^{ikx}$

$$\xi(t, x) = c_1 e^{-ik(t-x)} + c_2 e^{-ik(t-x - \alpha \log \frac{\alpha+t}{\alpha-t})}$$

Quantize ξ **regarding** $Z^{\mu\nu}$ as "curved" background

Now we are able to use curved space QFT methods!

Renormalize $T_{\mu\nu}$ vacuum expectation value using point splitting method and Schwinger–DeWitt technique

$$\langle 0 | T_{\mu\nu}^{ren.} | 0 \rangle = \frac{1}{4} \lim_{x \rightarrow x'} \mathcal{D}_{\mu\nu}(x, x') \left(G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x') \right) ;$$

where we use Hadamar function

$$G^{(1)}(x, x') = \langle 0 | \{ \xi(x), \xi(x') \} | 0 \rangle$$

Now we are able to use curved space QFT methods!

Renormalize $T_{\mu\nu}$ vacuum expectation value using point splitting method and Schwinger–DeWitt technique

$$\langle 0 | T_{\mu\nu}^{ren.} | 0 \rangle = \frac{1}{4} \lim_{x \rightarrow x'} \mathcal{D}_{\mu\nu}(x, x') \left(G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x') \right) ;$$

where we use Hadamar function

$$G^{(1)}(x, x') = \langle 0 | \{ \xi(x), \xi(x') \} | 0 \rangle$$

An exact function

$$G_{ex.}^{(1)}(x, x') = \int_0^\infty \frac{dk}{2\pi\sqrt{k^2 + m^2}} \left(e^{-ik(t-t'-x+x')} + e^{-ik(t-t'-x+x' - \alpha \log \frac{(\alpha+t)(\alpha-t')}{(\alpha-t)(\alpha+t')})} \right)$$

And a perturbative one

$$G_{pert.}^{(1)}(x, x') = \int_0^\infty \frac{dk}{2\pi\sqrt{k^2 + m^2}} \left(e^{-ik(\bar{t}-\bar{x})} + e^{-ik(\bar{t}+\bar{x})} \right)$$

The perturbation theory by $(\bar{x} - \bar{x}')$ with respect to riemannian normal coordinates \bar{x}_μ

$$Z_{\mu\nu}(\bar{x}) = \eta_{\mu\nu} + \frac{1}{3} R_{\mu\nu\rho\sigma}(\bar{x}') \bar{x}^\rho \bar{x}^\sigma + \dots$$

A direct calculation gives

$$G_{ren.}^{(1)} = \lim_{x \rightarrow x'} [G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x')] = \log \left[\frac{(\alpha^2 - t'^2)^2}{\alpha^2(\alpha^2 + t'^2)} \right] + \text{regular terms}$$

A direct calculation gives

$$G_{ren.}^{(1)} = \lim_{x \rightarrow x'} [G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x')] = \log \left[\frac{(\alpha^2 - t'^2)^2}{\alpha^2(\alpha^2 + t'^2)} \right] + \text{regular terms}$$

For $\langle T_{\mu\nu} \rangle$ the divergence is even worse, for example

$$\langle 0 | T_{00}^{ren.}(x') | 0 \rangle = \frac{t'^6 - t'^4\alpha^2 + 6t'^2\alpha^4 - 5\alpha^6}{24\alpha^6(\alpha^2 - t'^2)} + \text{regular terms}$$

The indication for pathology as $t' \rightarrow \alpha$.

A direct calculation gives

$$G_{ren.}^{(1)} = \lim_{x \rightarrow x'} [G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x')] = \log \left[\frac{(\alpha^2 - t'^2)^2}{\alpha^2(\alpha^2 + t'^2)} \right] + \text{regular terms}$$

For $\langle T_{\mu\nu} \rangle$ the divergence is even worse, for example

$$\langle 0 | T_{00}^{ren.}(x') | 0 \rangle = \frac{t'^6 - t'^4 \alpha^2 + 6t'^2 \alpha^4 - 5\alpha^6}{24\alpha^6(\alpha^2 - t'^2)} + \text{regular terms}$$

The indication for **pathology** as $t' \rightarrow \alpha$.

As $\langle T_{\mu\nu} \rangle$ exceeds Λ^2 the **effective theory breaks**. Nevertheless, it is clear, that the theory

$$S = \int d^2x \left[\frac{1}{2} (\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2} \phi^2 - \frac{1}{4\Lambda^2} (\partial_\mu \phi \partial^\mu \phi)^2 + M\delta(x)\phi \right]$$

is **incomplete not only in UV but at any energy scale!**

We may guess this conclusion to be true for any theory with superluminal excitations.

A direct calculation gives

$$G_{ren.}^{(1)} = \lim_{x \rightarrow x'} [G_{ex.}^{(1)}(x, x') - G_{pert.}^{(1)}(x, x')] = \log \left[\frac{(\alpha^2 - t'^2)^2}{\alpha^2(\alpha^2 + t'^2)} \right] + \text{regular terms}$$

For $\langle T_{\mu\nu} \rangle$ the divergence is even worse, for example

$$\langle 0 | T_{00}^{ren.}(x') | 0 \rangle = \frac{t'^6 - t'^4 \alpha^2 + 6t'^2 \alpha^4 - 5\alpha^6}{24\alpha^6(\alpha^2 - t'^2)} + \text{regular terms}$$

The indication for **pathology** as $t' \rightarrow \alpha$.

As $\langle T_{\mu\nu} \rangle$ exceeds Λ^2 the **effective theory breaks**. Nevertheless, it is clear, that the theory

$$S = \int d^2x \left[\frac{1}{2} (\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2} \phi^2 - \frac{1}{4\Lambda^2} (\partial_\mu \phi \partial^\mu \phi)^2 + M\delta(x)\phi \right]$$

is **incomplete not only in UV but at any energy scale!**

We may guess this conclusion to be true for any theory with superluminal excitations.

How to make a full description of this kind of theories is a point of discussion...

In the toy model with superluminality:

- Lorentz invariant action together with superluminal excitations leads to Lorentz non-invariant behaviour of physical solutions.
- Stress-energy tensor of the excitations shows pathology on top of the physical solution.



- Theory is pathological and we don't need to consider it.
- As the theory is non-Lorentzian we need to complete a Lagrangian with other Lorentz-breaking terms.
- Consider it as a spontaneous breaking of Lorentz invariance. We need to develop a mechanism of this breaking to understand which terms can be generated.

In the toy model with superluminality:

- Lorentz invariant action together with superluminal excitations leads to Lorentz non-invariant behaviour of physical solutions.
- Stress-energy tensor of the excitations shows pathology on top of the physical solution.



- Theory is pathological and we don't need to consider it.
- As the theory is non-Lorentzian we need to complete a Lagrangian with other Lorentz-breaking terms.
- Consider it as a spontaneous breaking of Lorentz invariance. We need to develop a mechanism of this breaking to understand which terms can be generated.

This analysis seem to be suitable for other theories that have superluminal propagation on classical backgrounds.

Thank you!