

# 4D CP violation from pure gauge in six dimensions

Maxim Libanov

INR RAS, Moscow

In collaboration with  
J.-M. Frere and S. Mollet

4 June, 2014

QUARKS-2014, Suzdal



# Outline

- Introduction
- $P$ ,  $C$  and  $CP$  in 4 and 6 dimensions
- Gauge fields. Hosotani mechanism.
- $CP$  violation induced by BC
  - Examples with  $SU(2)$
- Conclusion and perspectives



## Introduction

- Sakharov condition: Matter-antimatter asymmetry requires in 4d both  $C$  and  $CP$  violation (more general:  $CS$ ).
  - In 4d SM "pure gauge" interactions respect  $CP$ . Only the scalar(s) break  $CP$  through the phase(s) of the arbitrary Yukawa couplings.
- ? How  $C$  or  $CP$  invariance can be broken in theories containing only fermions and their gauge interactions?



## Introduction

### ● Idea:

● Extra dimensions:  $A_4, A_5$  components of 6d gauge field  $A_A$  look as scalars from 4d point of view

● If ED space is not simply connected

⇒ non trivial holonomies (Wilson lines (WL)) can appear dynamically for non contractible cycles (like magnetic fluxes through holes)

⇒ lead to dynamical gauge symmetry breaking

Hosotani'83,89

⇒ 4d scalars ( $A_4, A_5$ ) acquire a VEV

⇒ could cause CP violation if scalar and pseudo-scalar contributions coexists.



## Introduction

- At the classical level, WL are determined by the topology of ED and label degenerate classical vacua.
  - Degeneracy disappears due to quantum effects which select the true physical solution.
  - These are encoded into the effective potential for WL which depends on topology, matter content and Scherk-Schwarz phases.
- 

**NB:** Our goal: "proof of concept" -- namely the possibility of  $CP$  violation in  $4d$  from pure gauge theory in  $6d$ , but does not propose a realistic model.



# Outline

- Introduction
- $P$ ,  $C$  and  $CP$  in 4 and 6 dimensions
- Gauge fields. Hosotani mechanism.
- $CP$  violation induced by BC
  - Examples with  $SU(2)$
- Conclusion and perspectives



## P, C and CP in 4 and 6 dimensions

### ● Some notations:

$$X^A = (x^\mu, y^a) = (t, \vec{x}, \vec{y}), \quad \vec{x} = (x_1, x_2, x_3), \quad \vec{y} = (y_4, y_5)$$

### ● $\Gamma$ -matrices:

4d

$$\gamma^\mu = \begin{pmatrix} 0 & \sigma^\mu \\ \bar{\sigma}^\mu & 0 \end{pmatrix}, \quad \gamma^5 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\sigma^\mu = (1, \vec{\sigma})$$

$$p_{L,R}^{(4)} = \frac{1 \pm \gamma^5}{2} \Rightarrow$$

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}$$

6d

$$\Gamma^A = \begin{pmatrix} 0 & \Sigma^A \\ \bar{\Sigma}^A & 0 \end{pmatrix}, \quad \Gamma^7 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\Sigma^A = (\gamma^0 \gamma^\mu, i\gamma^0 \gamma^5, \gamma^0)$$

$$p_{\pm}^{(6)} = \frac{1 \pm \Gamma^7}{2} \Rightarrow$$

$$\psi = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix}$$



## P, C and CP in 4 and 6 dimensions

### ● C-conjugation:

4d

$$C\psi C^{-1} = \psi^c = C^{(4)}\gamma^0\psi^* \Rightarrow C^{(4)} \sim \gamma^2\gamma^0$$

even number!

$\Downarrow$

$$\{\gamma^5, C^{(4)}\gamma^0\} = 0$$

$\Downarrow$

$$\psi_L^c \leftrightarrow \psi_R^*, \quad \psi_R^c \leftrightarrow \psi_L^*$$

6d

$$C\psi C^{-1} = \psi^c = C^{(6)}\Gamma^0\psi^* \Rightarrow C^{(6)} \sim \Gamma^1\Gamma^3\Gamma^5$$

odd number!

$\Downarrow$

$$[\Gamma^7, C^{(6)}\Gamma^0] = 0$$

$\Downarrow$

$$\psi_+^c \leftrightarrow \psi_+^*, \quad \psi_-^c \leftrightarrow \psi_-^*$$

NB: In terms of the Lorentz group representations:

$$\psi_L \sim \psi_R^*$$

$$\psi_+ \sim \psi_+^*, \quad \psi_- \sim \psi_-^*$$

NB: Gauge interactions connect the same chirality  $\Rightarrow$  no reason to introduce both chiralities on an equal footing. Chiral Lagrangians:

C-noninvariant

C-invariant



## P, C and CP in 4 and 6 dimensions

### ● P-transformations:

4d

$$\mathcal{P}\psi(t, \vec{x})\mathcal{P}^{-1} = \psi^p = \gamma^0\psi(t, -\vec{x})$$

$\Downarrow$

$$\{\gamma^5, \gamma^0\} = 0$$

$\Downarrow$

$$\psi_L^p \leftrightarrow \psi_R, \quad \psi_R^p \leftrightarrow \psi_L$$

6d

$$\mathcal{P}\Psi(t, \vec{x}, \vec{y})\mathcal{P}^{-1} = \Psi^p = \Gamma^0\Psi(t, -\vec{x}, -\vec{y})$$

$\Downarrow$

$$\{\Gamma^7, \Gamma^0\} = 0$$

$\Downarrow$

$$\Psi_+^p \leftrightarrow \Psi_-, \quad \Psi_-^p \leftrightarrow \Psi_+$$

NB: Chiral Lagrangians:

P-noninvariant

$\Downarrow$

CP-variant

P-noninvariant

$\Downarrow$

CP-noninvariant

● In 6d if we introduce only (say)  $\Psi_+$  then we break CP.

Does it mean that the resulting 4d theory is not CP conserving?

The answer is NO.



## P, C and CP in 4 and 6 dimensions

● We need to find a relation between 4d and 6d CP transformations.

● From the 4d point of view an analog of  $\gamma_5$  (which acts on a 6d spinor) is

$$\bar{\gamma}_5 \sim \Gamma_0 \Gamma_1 \Gamma_2 \Gamma_3 \sim \begin{pmatrix} -\gamma_5 & 0 \\ 0 & \gamma_5 \end{pmatrix} \Rightarrow$$

● 
$$\psi = \begin{pmatrix} \psi_+ \\ \psi_- \end{pmatrix} \rightarrow \psi_+ = \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix} = \gamma_0 \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \sim \psi_{\text{Dirac}}$$

● C-conjugation: 
$$\psi_+^{C_6} \sim \gamma_5 \gamma_2 \psi_+^*$$

● On the other hand 4d  $CP_4$  should look like:

$$\psi_+^{CP_4}(t, \vec{x}, \vec{y}) \sim \gamma_0 \gamma_2 \psi_+^*(t, -\vec{x}, \vec{y}') \text{ or } \psi_+^{P_4}(t, \vec{x}, \vec{y}) \sim \gamma_0 \gamma_5 \psi_+(t, -\vec{x}, \vec{y}')$$

● But  $\gamma_0 \gamma_5$  is the Lorentz generator of a  $\pi$ -rotation in the (1 - 2), (3 - 5) planes. That is, if  $\vec{y}' = (\gamma_4, -\gamma_5)$  then  $P_4$  is nothing but 6d rotations!

**NB:** Rotations in the (4 - 5) plane on an angle  $\theta$  is 4d chiral rotations

$$\vec{y}' = \mathcal{R}(\theta) \vec{y} \rightarrow \psi_+(t, \vec{x}, \vec{y}') = e^{i\gamma_5 \theta/2} \psi_+(t, \vec{x}, \vec{y})$$



## P, C and CP in 4 and 6 dimensions

- In other words,  $CP_4$  transformations correspond to the transformations

$$\begin{cases} \Psi_+(t, \vec{x}, \vec{y}) \rightarrow \Psi_+^{CP_4}(t, \vec{x}, \vec{y}) \sim \gamma_0 \gamma_2 e^{-i\gamma_5 \theta/2} \Psi_+^*(t, -\vec{x}, \vec{y}') \\ \vec{y} \rightarrow \vec{y}' = \mathcal{R}(\theta) \sigma_3 \vec{y} = \mathcal{R}(\theta) \cdot (y_4, -y_5)^T \end{cases}$$

which are a symmetry of the 6d Lagrangian: 6d C-conjugation + rotations.

- 4d effective theory will be CP violating only if the compactification is incompatible with these symmetries. Or, in other words, if we fail to find a chiral rotation which reabsorbs the phases.



## P, C and CP in 4 and 6 dimensions

- **Example:** Consider a flat torus  $\mathbb{T}^2$  of radii  $R_4 = R_5 = R$ . Sch-Sch b.c.

$$\begin{cases} \Psi_+(y_4 + 2\pi R, y_5) = e^{i\beta_4} \Psi_+(y_4, y_5) \\ \Psi_+(y_4, y_5 + 2\pi R) = e^{i\beta_5} \Psi_+(y_4, y_5) \end{cases}$$

- Under the prescribed transformations the b.c. becomes

$$\begin{cases} \Psi_+(y_4 + 2\pi R \cos \theta, y_5 + 2\pi R \sin \theta) = e^{-i\beta_4} \Psi_+(y_4, y_5) \\ \Psi_+(y_4 + 2\pi R \sin \theta, y_5 - 2\pi R \cos \theta) = e^{-i\beta_5} \Psi_+(y_4, y_5) \end{cases}$$

- These b.c. are compatible iff  $\beta_4, \beta_5 = 0$  or  $\pi$
- That is, b.c. break effective 4d  $CP_4$  symmetry as soon as  $\beta_4, \beta_5$  are both different from 0 or  $\pi$ .



# Outline

- Introduction
- $P$ ,  $C$  and  $CP$  in 4 and 6 dimensions
- Gauge fields. Hosotani mechanism.
- $CP$  violation induced by BC
  - Examples with  $SU(2)$
- Conclusion and perspectives



## Gauge fields. Hosotani mechanism.

- To be specific in what follows we will work on flat space-time  $M^4 \times T^2$ .  $T^2$  is a flat torus of radii  $R_4, R_5$  (in general,  $R_4 \neq R_5$ );  $i, j, \dots = 4, 5$ .
- Turn off the fermions and turn on non-abelian gauge field  $A_A$ .

**NB:** In general, two kinds of compactification exist: the "non-magnetized" and the "magnetized" one:

- "non-magnetized" -- a non zero field strength is unstable and the only solutions are flat connections.

Example:  $SU(N)$  gauge group and a flat torus  $T^2$  -- this case we will consider in what follows.

Hosotani'89, Alfaro et al'07

- "magnetized" -- a non zero field strength can be stable and the solution corresponds to a physical flux orthogonal to the ED. The stability is ensured by the quantization of the flux for topological reasons.

Example:  $U(N)$  gauge group and a flat torus  $T^2$ .



## Gauge fields. Hosotani mechanism.

- **B.C.** : Because of the translation symmetry on the torus, gauge fields must be periodic up to a gauge transformations:

$$A_A(x, y_i + 2\pi R_i) = T_i(y) A_A(x, y) T_i^{-1}(y) + T_i(y) \partial_A T_i^{-1}(y)$$

where the transition functions  $T_i(y)$  must satisfy

$$T_4(y_4, y_5 + 2\pi R_5) T_5(y) = T_5(y_4 + 2\pi R_4, y_5) T_4(y)$$

- The BC,  $T_i$  do not fix the symmetry of the effective **4d** theory:  $A_4, A_5$  (**4d** scalars) could acquire "VEV"  $\langle A_4 \rangle, \langle A_5 \rangle$  through quantum effects. More precisely, some non-integrable phases become dynamical variables and can lead to effective symmetry breaking in **4d**.



## Gauge fields. Hosotani mechanism.

**NB:** Neither "VEV" nor BC ( $T_i$ ) are gauge invariant concepts:

$$\langle A_i \rangle' = \Omega \langle A_i \rangle \Omega^{-1} + \Omega \partial_i \Omega^{-1}$$

$$T'_i(y) = \Omega(y_i + 2\pi R_i) T_i(y) \Omega(y)^{-1}$$

The true gauge invariant quantities, which label vacua, are **Wilson lines phases (WLP)**

$$W_{C_i} T_{C_i} = \mathcal{P} \exp \left( \oint_{C_i} dy'_j \langle A_j(y') \rangle \right) T_{C_i}(y)$$

Hosotani'89

$C_i$ --non-contractible cycles, starting at  $y$ , and  $T_{C_i}$ , the associated BC.

What is the general form of the WLP ?



## Gauge fields. Hosotani mechanism.

● The compactification is "non-magnetized":  $\langle F_{45} \rangle = 0$ . Indeed, Hosotani'89,

● The Lagrangian for perturbations  $\tilde{A}_A$  looks like Alfaro et al'07

$$\text{Tr} F_{AB}^2 [\langle A_M \rangle + \tilde{A}_M] \sim \text{Tr} (\langle F_{AB} \rangle + F_{AB}(\tilde{A}_M) + \dots)^2 \sim \dots + g f^{abc} \langle F_{45}^a \rangle \tilde{A}_4^b \tilde{A}_5^c$$

● So,  $m_{bc}^2 \sim g f^{abc} \langle F_{45}^a \rangle$  is the mass matrix for 4d scalars  $\tilde{A}_4^b, \tilde{A}_5^c$ . If  $\langle F_{45} \rangle \neq 0$  and the group is simple ( $SU(N)$ )

$$m_{bc}^2 \neq 0$$

● But

$$f^{abc} = -f^{acb} \Rightarrow m_{bc}^2 = -m_{cb}^2 \Rightarrow \text{Tr} m^2 = 0 \Rightarrow$$

There should be positive ( $m^2 > 0$ ) and negative ( $m^2 < 0$ ) eigenvalues

$\Rightarrow$  Nielsen-Olesen instability  $\Rightarrow$

$$\langle F_{45} \rangle = 0$$

$\Downarrow$



## Gauge fields. Hosotani mechanism.

- The "VEV" must be pure gauge

$$\langle A_i(y) \rangle = S(y) \partial_i S(y)^{-1}$$

- $S$  must be compatible with the BC  $\Rightarrow$

$$S(y_i + 2\pi R_i) = T_i(y) S(y) V_i^{-1}$$

where  $V_i$  constant elements of the gauge group such that

$$[V_4, V_5] = 0$$

- Under the gauge transformation  $\Omega = S^\dagger$ :

$$S(y) \rightarrow 1 \Rightarrow \langle A'_i(y) \rangle = 0, \quad 1 = T'_i V_i^{-1} \Rightarrow$$

Wilson line phases

$$W_{C_i} T_{C_i} = W'_{C_i} T'_{C_i} = V_i$$



## Gauge fields. Hosotani mechanism.

- Therefore, all possible classical vacua can be labeled by constant

$$W_{C_i} T_{C_i} = V_i = \exp(i\alpha_i)$$

where  $\alpha_i$  are commuting (hermitian) matrices of  $SU(N)$  algebra.

**NB:** In general, among other, there are two approaches

- One can gauge away "VEVs":  $\langle A_{4,5} \rangle = 0$  and leaves with the non-trivial BC

$$T_i = V_i = \exp(i\alpha_i)$$

- One can gauge away BC:  $T_i = 1$  and leaves with the non-trivial "VEVs"

$$\langle A_i \rangle = \frac{\alpha_i}{2\pi R_i}$$

We will use the first approach.



# Outline

- Introduction
- $P$ ,  $C$  and  $CP$  in 4 and 6 dimensions
- Gauge fields. Hosotani mechanism.
- $CP$  violation induced by BC
  - Examples with  $SU(2)$
- Conclusion and perspectives



## CP violation induced by BC

- Turn on fermions. BC in the presence of a gauge field for the fermion become

$$\Psi_+(y + 2\pi R_i) = \exp(i\beta_i) T_i \Psi_+(y)$$

or in our gauge  $\langle A_{4,5} \rangle = 0$

$$\Psi_+(y + 2\pi R_i) = \exp(i\beta_i) \exp(i\alpha_i) \Psi_+(y)$$

- $CP_4$  is conserved if BC are symmetric under the transformations

$$\begin{cases} \Psi_+ \rightarrow U^* \Psi_+^*, \text{ where } U \text{ -- constant matrix} \\ \vec{y} \rightarrow \vec{y}' = \mathcal{R}(\theta) \sigma_3 \vec{y} \end{cases}$$

- Under the prescribed transformations BC become

$$\begin{cases} \Psi_+^{CP_4}(y_4 + 2\pi R_4 \cos \theta, y_5 + 2\pi R_4 \sin \theta) = e^{-i\beta_4} \exp[-i(U\alpha_4 U^{-1})^*] \Psi_+^{CP_4}(\vec{y}) \\ \Psi_+^{CP_4}(y_4 + 2\pi R_5 \sin \theta, y_5 - 2\pi R_5 \cos \theta) = e^{-i\beta_5} \exp[-i(U\alpha_5 U^{-1})^*] \Psi_+^{CP_4}(\vec{y}) \end{cases}$$



## CP violation induced by BC

	$\theta$	$\beta_4$	$\beta_5$	$Ua_4U^{-1}$	$Ua_5U^{-1}$	
$R_4 \neq R_5$	0	$\{0, \pi\}$	$[0, 2\pi[$	$-a_4 + \frac{2\pi k}{N}T$	$a_5 + \frac{2\pi k'}{N}T$	(1)
	$\pi$	$[0, 2\pi[$	$\{0, \pi\}$	$a_4 + \frac{2\pi k}{N}T$	$-a_5 + \frac{2\pi k'}{N}T$	(2)
$R_4 = R_5$	$\pi/2$	$-\beta_5$	$-\beta_4$	$-a_5 + \frac{2\pi k}{N}T$	$-a_4 + \frac{2\pi k'}{N}T$	(3)
	$3\pi/2$	$\beta_5$	$\beta_4$	$a_5 + \frac{2\pi k}{N}T$	$a_4 + \frac{2\pi k'}{N}T$	(4)

Transformations that could be identified with an effective  $CP_4$  symmetry in  $4d$  if compatible with BC. Here

$$T = \text{diag}(1, 1, \dots, 1, 1 - N)$$

$k, k' = 0, \dots, N-1$  for adjoint fermions

$k, k' = 0$  for fundamental fermions



## CP violation induced by BC

Two questions:

- Which patterns can be realized and under which conditions?

General strategy:

- We need to compute the effective potential for WLP for each group and representations we want to study

$$V_{\text{eff}} = \text{const} \times \left( -V_{\text{eff}}^{g+gh} + \sum_{i,R} V_{\text{eff}}^{\text{ferm}_{i,R}} + \text{possible matter contributions} \right)$$

- Then find the minima of this potential ( $a_i$ ) which depend on many parameters:  $\beta_i, R_i$ , matter content, etc.
- Then check whether  $CP_4$  is conserved or not.



## CP violation induced by BC

- At which level does  $CP_4$  violation manifest itself (and what could be phenomenologically promising)?
- Main limitation (without any new mechanism) is the absence of gap between light and heavy sectors:  $m \sim 1/R$ . A partial (quite inelegant) answer to this issue  $m_{\text{light}} \sim \beta/R$  while  $m_{\text{heavy}} \sim 1/R$ . If  $\beta \ll 1$  then  $m_{\text{light}} \ll m_{\text{heavy}}$
- $CP$  violation is, even in the Standard Model, a tricky issue to characterize (the Jarlskog determinants providing a partial answer). To prove that  $CP_4$  is violated, the safest way is to provide an "observable". Here we will deal with a single (light) fermion species and the simplest "observable" is then the electric dipole moment (EDM) of the lightest mode.



## Example with $SU(2)$

- Let us consider  $SU(2)$  group with one fermion in an adjoint representation.
- Numerical calculations show that, in the interesting regime

$$\beta_{4,5} \in [0, 0.1] \text{ and } 0.9 < r \equiv \frac{R_5}{R_4} < 1,$$

$$\alpha_4 = \alpha_5 = \frac{\pi}{2} T_3$$

- It means that the  $SU(2)$  is broken into  $U(1)$ , and we have a neutral fermion with mass

$$m_{\text{light}} \simeq \frac{\beta}{R} \left( 1 + \frac{\Delta\beta}{\beta} + \Delta r \right), \quad \Delta\beta = \beta_4 - \beta_5, \quad \Delta r = 1 - \frac{R_5}{R_4}$$

- The EDM of this mode is

$$\left| \frac{d_E R}{e^3} \right| \simeq 0.01 \left( \Delta r + 4.5 \frac{\Delta\beta}{\beta} \right)$$



## Example with SU(2)

$\beta$	$\Delta\beta/\beta$	$\Delta r$	$m_{\text{light}} R$	$d_E R / e^3$
$10^{-1}$	0	$10^{-1}$	$1.35 \cdot 10^{-1}$	$1.09 \cdot 10^{-3}$
$10^{-1}$	0	$10^{-2}$	$1.41 \cdot 10^{-1}$	$0.99 \cdot 10^{-4}$
$10^{-1}$	0	$10^{-3}$	$1.41 \cdot 10^{-1}$	$0.98 \cdot 10^{-5}$
$10^{-1}$	0	$10^{-4}$	$1.41 \cdot 10^{-1}$	$0.98 \cdot 10^{-6}$
$10^{-1}$	$10^{-1}$	0	$1.35 \cdot 10^{-1}$	$4.66 \cdot 10^{-3}$
$10^{-1}$	$10^{-2}$	0	$1.41 \cdot 10^{-1}$	$4.50 \cdot 10^{-4}$
$10^{-1}$	$10^{-3}$	0	$1.41 \cdot 10^{-1}$	$4.48 \cdot 10^{-5}$
$10^{-2}$	$10^{-1}$	0	$1.35 \cdot 10^{-2}$	$4.28 \cdot 10^{-3}$
$10^{-3}$	$10^{-1}$	0	$1.35 \cdot 10^{-3}$	$4.28 \cdot 10^{-3}$
$10^{-3}$	$10^{-1}$	$10^{-1}$	$1.27 \cdot 10^{-3}$	$5.71 \cdot 10^{-3}$
$10^{-3}$	$10^{-1}$	$10^{-2}$	$1.33 \cdot 10^{-3}$	$4.41 \cdot 10^{-3}$
$10^{-3}$	$10^{-1}$	$10^{-3}$	$1.34 \cdot 10^{-3}$	$4.29 \cdot 10^{-3}$



# Conclusion

- ✓ We made use of the Hosotani mechanism to generate both gauge and  $CP$  symmetry breaking through compactification from a  $6d$  model.
- ✓ Our solutions is far from being realistic, they must be seen more as "proof of concept".

## Perspectives

- New compactification mechanism (like orbifold or magnetized compactification) might be employed to reach a chiral theory in  $4d$ .
- A mechanism which produces a low energy sector naturally separated from the KK scale would be very welcome. (E.g., one can hope for an effective low energy potential between the remaining scalars, what would provide the lower mass scale).