

Integrable systems and the universal R -matrix¹

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Classical and quantum integrable systems

The universal R -matrix and L -operators

The Yang–Baxter equation and functional relations

Khoroshkin–Tolstoy construction

► Classical integrable systems

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- ▶ Quantum groups (V. G. Drinfeld, 1985-87; M. Jimbo, 1985-87)

► Generalized Toda system

$$q_{tt} = -\nabla_q U, \quad U = \sum_{\alpha \in \pi} \exp(2\alpha(q)), \quad q(t) = \sum_{\alpha \in \pi} h_\alpha q^\alpha(t)$$

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► Lax pair

$$L_t = [A, L]$$

$$A = - \sum_{\alpha \in \pi} (e^{\text{ad}_q} e_\alpha - e^{-\text{ad}_q} f_\alpha), \quad L = q_t + \sum_{\alpha \in \pi} (e^{\text{ad}_q} e_\alpha + e^{-\text{ad}_q} f_\alpha)$$

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- ▶ The classical Yang–Baxter equation

$$\{L(\zeta) \otimes L(\xi)\} = [r(\zeta/\xi), L(\zeta) \otimes I + I \otimes L(\xi)], \quad r(x) : \mathbb{C}^\times \rightarrow \mathfrak{G} \otimes \mathfrak{G}$$

$$[r_{12}(\zeta_{12}), r_{13}(\zeta_{13})] + [r_{12}(\zeta_{12}), r_{23}(\zeta_{23})] + [r_{13}(\zeta_{13}), r_{23}(\zeta_{23})] = 0$$

$$\zeta_{ij} = \zeta_i / \zeta_j, \quad r_{12} = r \otimes I, \quad r_{23} = I \otimes r, \quad \dots$$

▶ Quantization

$$R(\zeta) : \mathbb{C}^\times \rightarrow U_{\hbar}(\mathfrak{G}) \otimes U_{\hbar}(\mathfrak{G})$$

$$R(\zeta) \equiv R(\zeta, \hbar) = \rho(\zeta, \hbar) (I + \hbar r(\zeta) + \dots)$$

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► Let $\mathcal{R} \in \mathcal{A} \otimes \mathcal{A}$ such that

$$\Delta^{\text{op}}(a) = \mathcal{R} \Delta(a) \mathcal{R}^{-1}$$

for all $a \in \mathcal{A}$. Suppose \mathcal{A} is *quasitriangular*

$$\Delta \otimes \text{id}(\mathcal{R}) = \mathcal{R}_{13} \mathcal{R}_{23}, \quad \text{id} \otimes \Delta(\mathcal{R}) = \mathcal{R}_{13} \mathcal{R}_{12}.$$

Then \mathcal{R} is the *universal R -matrix*. It satisfies *the QYBE*

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► Representation

$$R(\zeta_1, \zeta_2) := \varphi_{\zeta_1} \otimes \varphi_{\zeta_2}(\mathcal{R})$$

$$R_{12}(\zeta_{12}) R_{13}(\zeta_{13}) R_{23}(\zeta_{23}) = R_{23}(\zeta_{23}) R_{13}(\zeta_{13}) R_{12}(\zeta_{12})$$

$$R_{12}(\zeta_{12}) \in \text{End}(V_1 \otimes V_2 \otimes \text{id}_3), \quad R_{23}(\zeta_{23}) \in \text{End}(\text{id}_3 \otimes V_2 \otimes V_3), \quad \dots$$

L -operators

- ▶ Defining

$$\hat{L}(\zeta_{12}) = \chi_{\zeta_1} \otimes \varphi_{\zeta_2}(\mathcal{R}), \quad \chi_{\zeta} : \mathcal{A} \rightarrow \mathcal{C},$$

we obtain an element $\hat{L}(\zeta)$ called an L -operator and satisfying

$$\hat{R}(\zeta_{12})(\hat{L}(\zeta_1) \times \hat{L}(\zeta_2)) = (\hat{L}(\zeta_2) \times \hat{L}(\zeta_1))\hat{R}(\zeta_{12})$$

Here $\hat{R} = RP$ with $P(v_1 \otimes v_2) = v_2 \otimes v_1$.

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- ▶ Another type of L -operators

$$\check{L}(\zeta_{12}) = \varphi_{\zeta_1} \otimes \psi_{\zeta_2}(\mathcal{R}), \quad \psi_{\zeta} : \mathcal{A} \rightarrow \mathcal{D}$$

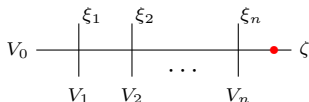
and the corresponding relations

$$\check{R}(\zeta_{12})(\check{L}(\zeta_1) \times \check{L}(\zeta_2)) = (\check{L}(\zeta_2) \times \check{L}(\zeta_1))\check{R}(\zeta_{12})$$

Now $\check{R} = PR$, and note that $\check{R} \neq \hat{R}$.

Traces of monodromy-type matrices

- ▶ Transfer matrix



$$T(\zeta; \xi_1, \xi_2, \dots, \xi_n | \alpha) = \text{tr}_{\varphi_0} (R_{01}(\zeta/\xi_1) R_{02}(\zeta/\xi_2) \cdots R_{0n}(\zeta/\xi_n) \tau_\alpha)$$

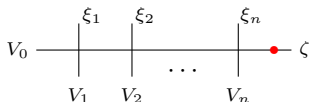
Here $R_{0i}(\zeta/\xi_i)$ acts on $V_0 \otimes V_1 \otimes \dots \otimes V_n$ and the trace is over V_0 .

$$[T(\zeta; \xi_1, \dots, \xi_n), T(\zeta'; \xi_1, \dots, \xi_n)] = 0$$

$$Z(\zeta_1, \dots, \zeta_m | \xi_1, \dots, \xi_n) = \text{tr} (T(\zeta_1; \xi_1, \dots, \xi_n) \cdots T(\zeta_m; \xi_1, \dots, \xi_n))$$

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- ▶ Baxter's Q -matrix

$$Q(\zeta; \xi_1, \dots, \xi_n | \beta) = \text{tr}_{\chi, \psi} (L(\zeta/\xi_1) \times \dots \times L(\zeta/\xi_n) \kappa_\beta)$$

$$[T(\zeta; \xi), Q(\zeta'; \xi)] = 0, \quad [Q(\zeta; \xi), Q(\zeta'; \xi)] = 0$$

$$T(\zeta; \xi) Q(\zeta; \xi) = A(\zeta/\xi) Q(q^{-2}\zeta; \xi) + B(\zeta/\xi) Q(q^2\zeta; \xi)$$

- An important observation:

$$\mathcal{R} \in \mathcal{B}_+ \otimes \mathcal{B}_- \subset \mathcal{A} \otimes \mathcal{A}$$

with \mathcal{B}_+ spanned by e_i, h_i , and \mathcal{B}_- by f_i, h_i . Here \mathcal{A} is $U_{\hbar}(\mathfrak{g}'(A))$

$$[h_i, h_j] = 0,$$

$$[h_i, e_j] = a_{ij} e_j, \quad [h_i, f_j] = -a_{ij} f_j,$$

$$[e_i, f_j] = \delta_{ij} \frac{q^{h_i} - q^{-h_i}}{q^{d_i} - q^{-d_i}},$$

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \begin{bmatrix} 1 - a_{ij} \\ k \end{bmatrix}_{q^{d_i}} (e_i)^{1-a_{ij}-k} e_j (e_i)^k = 0, \quad \&\{e_i \leftrightarrow f_i\}$$

- We have a Hopf algebra with comultiplication ($q = e^{\hbar}$)

$$\Delta(h_i) = h_i \otimes 1 + 1 \otimes h_i,$$

$$\Delta(e_i) = e_i \otimes 1 + q^{-d_i h_i} \otimes e_i, \quad \Delta(f_i) = f_i \otimes q^{d_i h_i} + 1 \otimes f_i$$

The prescription for untwisted affine Lie algebras

- ▶ The system $\Delta_+(A)$ is supplied with a *normal order*
 - (i) all multiple roots follow each other in an arbitrary order;
 - (ii) each nonsimple root $\alpha + \beta$, where α is not proportional to β , is to be placed between α and β .
- We also add that for any root $\gamma \in \Delta_+(A)$

$$\gamma + m\delta \prec k\delta \prec (\delta - \gamma) + \ell\delta$$

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- ▶ Constructing the root vectors corresponding to the positive roots of $\mathfrak{g}(A^{(1)})$ from the root vectors corresponding to the simple positive roots $e_{\alpha_0} = e_{\delta - \theta}$ and e_{α_i}
- ▶ The universal R -matrix according to Khoroshkin and Tolstoy

$$\mathcal{R} = \mathcal{R}_{\prec\delta} \mathcal{R}_{\sim\delta} \mathcal{R}_{\succ\delta} \mathcal{K}$$

with \mathcal{K} given by the expression

$$\mathcal{K} = \exp\left(\hbar \sum_{i,j=1}^r (b_{ij} h_{\alpha_i} \otimes h_{\alpha_j})\right), \quad b a = I_r$$

- ▶ The 1st factor

$$\mathcal{R}_{<\delta} = \prod_{m \geq 0}^{\widehat{}} \exp_{q^{-(\gamma, \gamma)}} \left((q - q^{-1}) s_{m, \gamma}^{-1} e_{\gamma+m\delta} \otimes f_{\gamma+m\delta} \right),$$

where

$$[e_{\gamma+m\delta}, f_{\gamma+m\delta}] = s_{m, \gamma} \frac{q^{h_{\gamma+m\delta}} - q^{-h_{\gamma+m\delta}}}{q - q^{-1}}$$

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- ▶ The 2nd factor

$$\mathcal{R}_{\sim\delta} = \exp \left((q - q^{-1}) \sum_{m > 0} \sum_{i, j=1}^r u_{m, ij} e_{m\delta, \alpha_i} \otimes f_{m\delta, \alpha_j} \right),$$

where

$$[e_{\alpha_i+m\delta}, e_{n\delta, \alpha_j}] = t_{n, ij} e_{\alpha_i+(m+n)\delta}, \quad u_m t_m = I_r$$

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where

$$[e_{\alpha_i+m\delta}, e_{n\delta, \alpha_j}] = t_{n, ij} e_{\alpha_i+(m+n)\delta}, \quad u_m t_m = I_r$$

- ▶ The 3rd factor

$$\mathcal{R}_{>\delta} = \prod_{m \geq 0}^{\widehat{}} \exp_{q^{-(\gamma, \gamma)}} \left((q - q^{-1}) s_{m, \delta-\gamma}^{-1} e_{(\delta-\gamma)+m\delta} \otimes f_{(\delta-\gamma)+m\delta} \right)$$

- ▶ The universal R -matrix belongs to $U_{\hbar}(\mathfrak{b}'_+(A^{(1)})) \otimes U_{\hbar}(\mathfrak{b}'_-(A^{(1)}))$.
We define φ_{ζ} , $\zeta \in \mathbb{C}^{\times}$, by the relations

$$\begin{aligned}\varphi_{\zeta}(h_{\alpha_i}) &= \varphi(h_{\alpha_i}), \\ \varphi_{\zeta}(e_{\alpha_i}) &= \zeta^{s_i} \varphi(e_{\alpha_i}), \quad \varphi_{\zeta}(f_{\alpha_i}) = \zeta^{-s_i} \varphi(f_{\alpha_i}),\end{aligned}$$

where $s_i \in \mathbb{Z}$ and $\varphi = \pi \circ \varepsilon$, with ε being a homomorphism of $U_{\hbar}(\mathfrak{g}'(A^{(1)}))$ to $U_{\hbar}(\mathfrak{g}(A))$, and π – a representation of $U_{\hbar}(\mathfrak{g}(A))$.

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- ▶ For L -operators of type \hat{L} we take $\chi_{\zeta} : U_{\hbar}(\mathfrak{b}'_+(A^{(1)})) \rightarrow \mathcal{C}$, and for L -operators of type \check{L} we take $\psi_{\zeta} : U_{\hbar}(\mathfrak{b}'_-(A^{(1)})) \rightarrow \mathcal{D}$.

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- ▶ Here, for the unital associative algebras \mathcal{C} and \mathcal{D} we take the tensor product of q -deformed oscillator algebras, each defined by the generators a_i, a_i^{\dagger} and D_i , $i = 1, \dots, r$, with the relations

$$\begin{aligned}[D_i, a_i] &= -a_i, & [D_i, a_i^{\dagger}] &= a_i^{\dagger}, \\ a_i a_i^{\dagger} &= 1 - q^2 q^{2D_i}, & a_i^{\dagger} a_i &= 1 - q^{2D_i}\end{aligned}$$

► Gauge invariance:

$$R^{(s,s_1,s_2)}(\zeta_{12}) = [G(\zeta_1) \otimes G(\zeta_2)]R^{(1,0,0)}(\zeta_{12}^s)[G(\zeta_1) \otimes G(\zeta_2)]^{-1},$$

where

$$G(\zeta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \zeta^{-s_1} & 0 \\ 0 & 0 & \zeta^{-s_1-s_2} \end{pmatrix}$$

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- ▶ The *transfer matrices* of inhomogeneous vertex models related to R -matrices with different choices of s , s_1 and s_2 are connected by a similarity transformation and a change of the spectral parameters. The corresponding *partition functions* in the case of the toroidal boundary conditions are connected by a change of the spectral parameters.

Analogously, the Q -operators obtained from L -operators corresponding to different values of s , s_1 and s_1 are connected by a change of the spectral parameters and a similarity transformation.

L -operators of type \hat{L}

- Choosing the evaluation map

$$\chi_\zeta(h_{\delta-\alpha-\beta}) = -D_1 - D_2, \quad \chi_\zeta(h_\alpha) = 2D_1 - D_2, \quad \chi_\zeta(h_\beta) = -D_1 + 2D_2,$$

$$\chi_\zeta(e_{\delta-\alpha-\beta}) = \frac{1}{q - q^{-1}} a_1 a_2 q^{-D_1 - 2D_2} \zeta^{s-s_1-s_2},$$

$$\chi_\zeta(e_\alpha) = \frac{1}{q - q^{-1}} a_1^\dagger \zeta^{s_1}, \quad \chi_\zeta(e_\beta) = \frac{1}{q - q^{-1}} q^{D_1} a_2^\dagger \zeta^{s_2},$$

we come to the L -operator ($s = 1, s_1 = s_2 = 0$ for brevity)

$$\hat{L}(\zeta) = e^{\lambda_3(q^{-2}\zeta)} \begin{pmatrix} q^{D_1} & q^{-2} a_1 q^{-D_1 - D_2} \zeta & a_1 a_2 q^{-D_1 - 3D_2} \zeta \\ a_1^\dagger q^{D_1} & q^{-D_1 + D_2} - q^{-2} q^{D_1 - D_2} \zeta & -a_2 q^{D_1 - 3D_2} \zeta \\ 0 & a_2^\dagger q^{D_2} & q^{-D_2} \end{pmatrix}$$

- The evaluation map

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leads to the L -operator ($s = 1, s_1 = s_2 = 0$ for brevity)

$$\hat{L}(\zeta) = \frac{e^{-\lambda_3(q^2\zeta)}}{1 - \zeta} \begin{pmatrix} q^{D_1} - q^{-2}q^{-D_1}\zeta & -a_1 q^{-3D_1+D_2} \zeta & -a_1 a_2 q^{-D_1-D_2} \zeta \\ a_1^\dagger q^{D_1} & q^{-D_1+D_2} & a_2 q^{D_1-D_2} \zeta \\ q^{-1} a_1^\dagger a_2^\dagger & a_2^\dagger q^{-2D_1+D_2} & q^{-D_2} - q^{D_2} \zeta \end{pmatrix}$$

✓ Gauge invariance

$$\hat{L}^{(s, s_1, s_2)}(\zeta_{12}) = \gamma_{\zeta_1}(G(\zeta_2)) \hat{L}^{(1, 0, 0)}(\zeta_{12}^s) G^{-1}(\zeta_2),$$

where $\gamma_\zeta, \zeta \in \mathbb{C}^\times$, is given by

$$\gamma_\zeta(a_i) = a_i \zeta^{-s_i}, \quad \gamma_\zeta(a_i^\dagger) = a_i^\dagger \zeta^{s_i}, \quad \gamma_\zeta(D_i) = D_i, \quad i = 1, 2.$$

L -operators of type \check{L}

- Here we work with the mapping ψ_ζ given by

$$\psi_\zeta(h_{\delta-\alpha-\beta}) = -D_1 - D_2, \quad \psi_\zeta(h_\alpha) = 2D_1 - D_2, \quad \psi_\zeta(h_\beta) = -D_1 + 2D_2,$$

$$\psi_\zeta(f_{\delta-\alpha-\beta}) = \frac{1}{q - q^{-1}} q^{-D_1 - 2D_2} a_1^\dagger a_2^\dagger \zeta^{-s+s_1+s_2},$$

$$\psi_\zeta(f_\alpha) = \frac{1}{q - q^{-1}} a_1 \zeta^{-s_1}, \quad \psi_\zeta(f_\beta) = \frac{1}{q - q^{-1}} a_2 q^{D_1} \zeta^{-s_2}.$$

This leads to the L -operator (again $s = 1, s_1 = s_2 = 0$)

$$\check{L}(\zeta) = e^{\lambda_3(q^{-2}\zeta)} \begin{pmatrix} q^{D_1} & a_1 q^{-D_1+D_2} & 0 \\ q^{-2} a_1^\dagger q^{D_1-2D_2} \zeta & q^{-D_1+D_2} - q^{-2} q^{D_1-D_2} \zeta & a_2 q^{D_1-D_2} \\ q^{-3} a_1^\dagger a_2^\dagger q^{-2D_2} \zeta & -q^{-2} a_2^\dagger q^{-D_2} \zeta & q^{-D_2} \end{pmatrix}$$

- While choosing the evaluation map

$$\psi_\zeta(h_{\delta-\alpha-\beta}) = -D_1 - D_2, \quad \psi_\zeta(h_\alpha) = 2D_1 - D_2, \quad \psi_\zeta(h_\beta) = -D_1 + 2D_2,$$

$$\psi_\zeta(f_{\delta-\alpha-\beta}) = -\frac{1}{q - q^{-1}} q^{-D_1} a_1^\dagger a_2^\dagger \zeta^{-s+s_1+s_2},$$

$$\psi_\zeta(f_\alpha) = \frac{1}{q - q^{-1}} a_1 \zeta^{-s_1}, \quad \psi_\zeta(f_\beta) = \frac{1}{q - q^{-1}} a_2 q^{-D_1} \zeta^{-s_2},$$

we obtain one more L -operator (here $s = 1, s_1 = s_2 = 0$)

$$\check{L}(\zeta) = \frac{e^{-\lambda_3(q^2\zeta)}}{1 - \zeta} \begin{pmatrix} q^{D_1} - q^{-2}q^{-D_1}\zeta & a_1 q^{-D_1+D_2} & a_1 a_2 q^{-D_1-D_2} \\ -q^{-2} a_1^\dagger q^{-D_1} \zeta & q^{-D_1+D_2} & a_2 q^{-D_1-D_2} \\ -q^{-1} a_1^\dagger a_2^\dagger \zeta & a_2^\dagger q^{D_2} \zeta & q^{-D_2} - q^{D_2} \zeta \end{pmatrix}$$

- ✓ Gauge invariance

$$\check{L}^{(s,s_1,s_2)}(\zeta_{12}) = G(\zeta_1) \gamma_{\zeta_2}(\check{L}^{(1,0,0)}(\zeta_{12}^s)) G^{-1}(\zeta_1)$$