

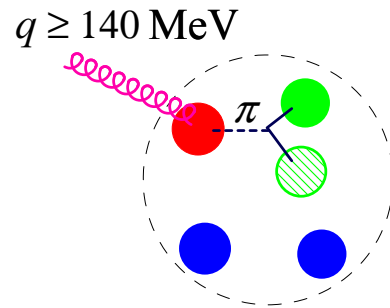
3,5,7... -quark wave functions of ordinary baryons and the width of the exotic Θ^+

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1. Quantum field theory is a necessity
2. Relativistic Mean Field Approximation to baryons
3. Baryon wave functions
4. Θ^+ width

Based on [hep-ph/0406043](#), and D.D. and V. Petrov, [hep-ph/0505201](#)



Uncertainty principle at work: When one attempts to measure the quark position in the nucleon to an accuracy better than the pion Compton wave length of 1 fm one produces a pion, i.e. a new $Q\bar{Q}$ pair. Hence, the quantum-mechanical description of baryons with a fixed number of quarks, is senseless.

The statement “nucleons are made of three quarks” has a limited accuracy. For some observables the accuracy is very poor, for example,

“**Spin crisis**”: 0.3 ± 0.1 of the nucleon spin is carried by the three valence quarks

Nucleon σ -term: only $\frac{1}{4}$ of it is carried by the three valence quarks,

$$\sigma = \frac{m_u + m_d}{2} \langle N | \bar{u}u + \bar{d}d | N \rangle = 67 \pm 6 \text{ MeV},$$

From valence quarks : $\frac{4 \text{ MeV} + 7 \text{ MeV}}{2} \times (\leq 3) \leq 17.5 \text{ MeV}.$

Both paradoxes are explained by additional $\bar{Q}Q$ pairs in baryons.

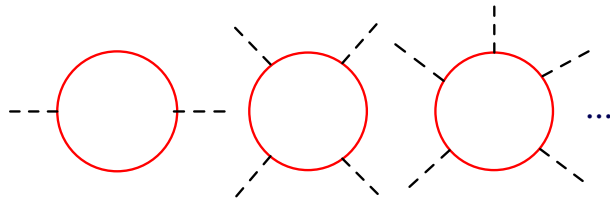
One needs means to describe baryons as $QQQ + QQQQ\bar{Q} + QQQQQ\bar{Q}\bar{Q} + \dots$ states at low virtuality. Such means are provided by the **chiral theory**.

As a result of the **spontaneous chiral symmetry breaking** nearly massless u, d, s quarks obtain a dynamical mass $M(p)$ and hence necessarily have to interact strongly (!) with the pseudoscalar fields:

$$\mathcal{L}_{\text{eff}} = \bar{q} \left[i\partial\!\!\!/ - M \exp(i\gamma_5 \pi^A \lambda^A / F_\pi) \right] q, \quad \pi^A = \pi, K, \eta, \quad g_{\pi qq}(0) = \frac{M(0)}{F_\pi} \simeq 4$$

Models with massive quarks and (confining) gluon interaction, $\bar{q} [i\partial\!\!\!/ + \cancel{A} - M] q$, **contradict** chiral symmetry, as they violate invariance under

$$q \rightarrow \exp(i\gamma_5 \alpha^A \lambda^A) q, \quad \bar{q} \rightarrow \bar{q} \exp(i\gamma_5 \alpha^A \lambda^A), \quad e^{i\pi} \rightarrow e^{i\alpha} e^{i\pi} e^{i\alpha}.$$



Pseudoscalar mesons are themselves bound states of constituent quarks: they propagate and interact via virtual quark-antiquark pairs. The sum of all diagrams with any number of external legs is called the effective chiral lagrangian.

Integrating out quarks one obtains the **effective chiral action** [D.D. and Eides (1983), Dhar, Shankar and Wadia (1985)]

$$S[\pi(x)] = \int d^4x \left\{ \frac{F_\pi^2}{4} \text{Tr} (\partial_\mu U^\dagger \partial_\mu U) + \frac{N_c}{192\pi^2} \text{Tr} [\partial_\mu U^\dagger \partial_\nu U]^2 + \text{infinite series} \right\}$$

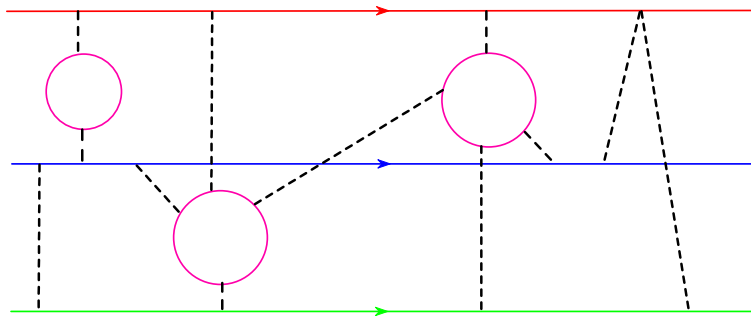
$$+ \frac{iN_c}{240\pi^2} \int d^5x \epsilon^{\alpha\beta\gamma\delta\epsilon} \text{Tr} (U^\dagger \partial_\alpha U \partial_\beta U^\dagger \partial_\gamma U \partial_\delta U^\dagger \partial_\epsilon U) + \dots,$$

$$U = \exp(i\pi^A \lambda^A).$$

In contrast, the Skyrme model

- neglects all higher derivative terms [it is like replacing e^{-x} by $1-x$]
- adds the Wess–Zumino term by hand

No wonder the agreement of the Skyrme model with data is only qualitative. One has to use the **full** chiral lagrangian to describe baryons.



Quarks in the nucleon (solid lines), interacting via pion fields (dashed lines).

If the number of colours N_c is large, the summation of all such diagrams becomes equivalent to finding the **mean chiral field**.

Relativistic Mean Field Approximation or the Chiral Quark Soliton Model

[DD and Petrov + Poblitsa (1986)]

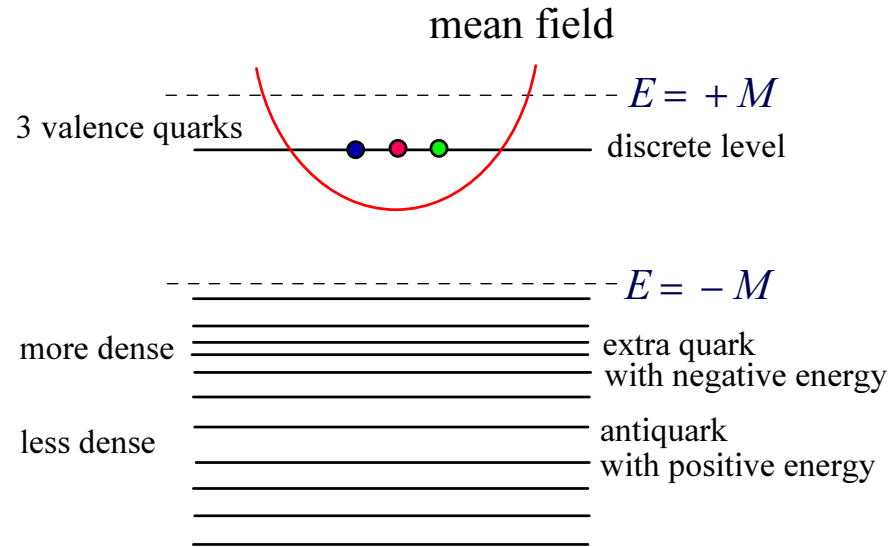


Figure 1: A schematic view of baryons in the Relativistic Mean Field Approximation. There are three “valence” quarks at a discrete energy level created by the mean field, and the negative-energy Dirac continuum distorted by the mean field, as compared to the free one.

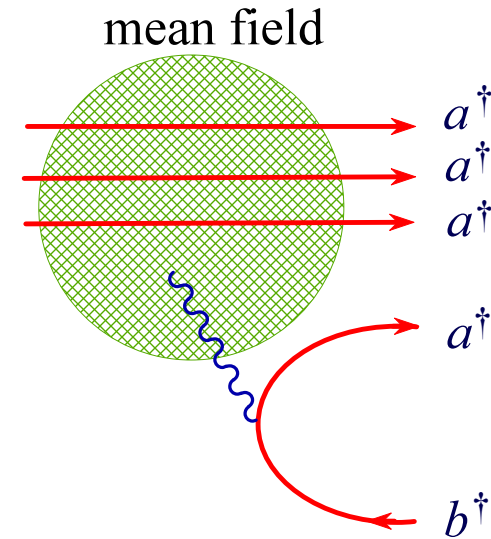


Figure 2: Equivalent view of baryons, where the polarized Dirac sea is presented as $Q\bar{Q}$ pairs. Their wave function is given by the quark Green function in the background mean field, at equal times [Petrov and Polyakov (2003)].

$$\text{Baryon mass} = N_c (E_{\text{lev}}[\pi(x)] + E_{\text{sea}}[\pi(x)]) \leftarrow \text{minimize it in } \pi(x) !$$

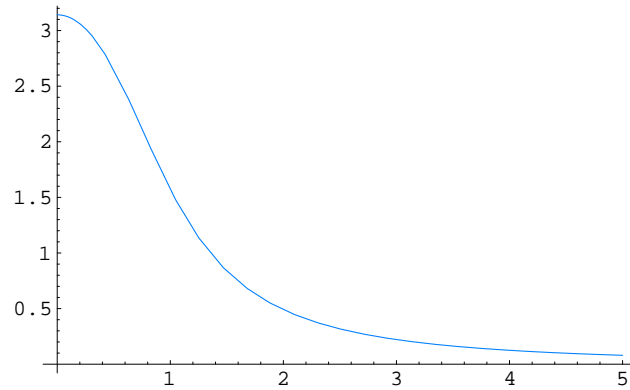


Figure 3: The space profile of the self-consistent chiral field $|\pi|(r)$ in light baryons. One unit on the horizontal axis is $r_0 = 0.8/M = 0.46$ fm.

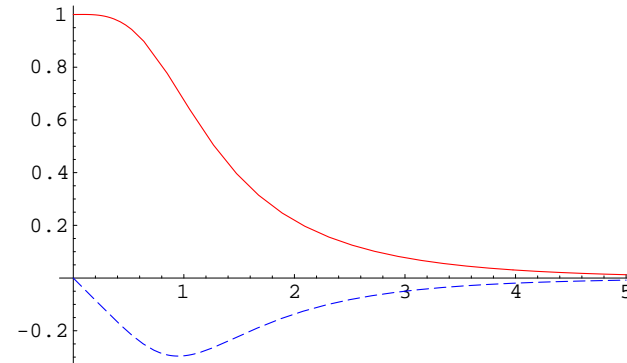


Figure 4: Bound-state quark upper s -wave component $h(r)$ (red) and the lower p -wave component $j(r)$ (blue) in light baryons.

The only input $M(0) = 345$ MeV $\implies E_{\text{lev}} = 200$ MeV. The three “valence” quarks are rather tightly bound and relativistic!

In the Relativistic Mean Field Approximation **all** properties of **all** baryons from the $(\mathbf{8}, \frac{1}{2}^+)$, $(\mathbf{10}, \frac{3}{2}^+)$ and $(\overline{\mathbf{10}}, \frac{1}{2}^+)$ multiplets follow from the shape of the self-consistent pion field, including masses, magnetic moments, formfactors, parton distributions at low virtuality, etc.

Error sources:

- Precise form of $M(p)$ is presently not known – only certain integrals relating $M(p)$ to $F_\pi, \langle \bar{q}q \rangle$
- Corrections from fluctuations about the mean field $\sim 1/N_c$, actually $\sim 1/(2\pi N_c) \approx 6\%$
- Residual color Coulomb interactions, estimated as small by DD, Jaenicke and Polyakov (1992)

On the whole, all baryon properties computed so far are within 15% from the data, with no adjusted or fitting parameters!

Baryon wave functions

The Dirac sea is presented by the coherent exponent of the quark a^\dagger and antiquark b^\dagger creation operators:

$$\text{coherent exponent} = \exp \left(\int (d\mathbf{p})(d\mathbf{p}') a^\dagger(\mathbf{p}) W(\mathbf{p}, \mathbf{p}') b^\dagger(\mathbf{p}') \right) |0\rangle,$$

where $W(\mathbf{p}_1, \mathbf{p}_2)$ is the (calculated) quark Green function at equal times in the background chiral field.

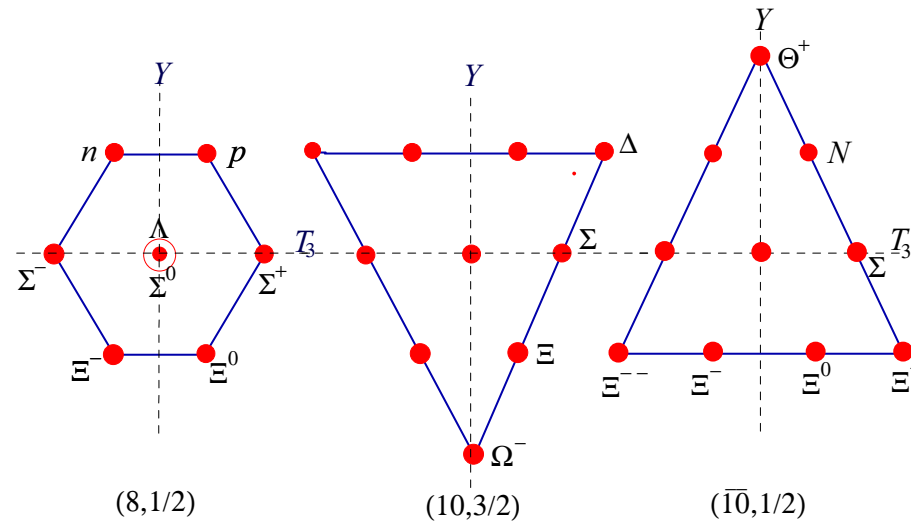
Baryon wave function of N_c “valence” quarks and arbitrary number of $Q\bar{Q}$ pairs:

$$\Psi^B[a^\dagger, b^\dagger] = \prod_{\text{color}=1}^{N_c} \int (d\mathbf{p}) F(\mathbf{p}) a^\dagger(\mathbf{p}) \cdot \exp\left(\int (d\mathbf{p})(d\mathbf{p}') a^\dagger(\mathbf{p}) W(\mathbf{p}, \mathbf{p}') b^\dagger(\mathbf{p}')\right) |0\rangle .$$

The mean chiral field is degenerate in overall rotations in ordinary and flavor spaces; hence one has to **project** it to a given flavor and spin baryon state:

$$\Psi_k^B = \int dR B_k^*(R) \epsilon^{\alpha_1 \alpha_2 \alpha_3} \prod_{n=1}^3 \int (d\mathbf{p}_n) R_{jn}^{fn} F^{jn\sigma_n}(\mathbf{p}_n) a_{\alpha_n f_n \sigma_n}^\dagger(\mathbf{p}_n) \cdot \exp\left(\int (d\mathbf{p})(d\mathbf{p}') a_{\alpha f \sigma}^\dagger(\mathbf{p}) R_j^f W_{j'\sigma'}^{j\sigma}(\mathbf{p}, \mathbf{p}') R_{f'}^{\dagger j'} b^{\dagger \alpha f' \sigma'}(\mathbf{p}')\right) |0\rangle .$$

This is the generating functional for all qqq , $qqqq\bar{q}$, $qqqqq\bar{q}\bar{q}$ quark wave functions in the $\left(\mathbf{8}, \frac{1}{2}^+\right)$, $\left(\mathbf{10}, \frac{3}{2}^+\right)$ and $\left(\overline{\mathbf{10}}, \frac{1}{2}^+\right)$ baryons in the Relativistic Mean Field Approximation. R_j^f is an $SU(3)$ matrix parameterized by 8 “Euler angles”. $B_k^*(R)$ is a given baryon’s rotational wave function depending on those angles.



Examples of the baryons' (conjugate) rotational wave functions $B^*(R)$:

proton, spin projection k : $p_k^*(R) = \sqrt{8} \epsilon_{kl} R_1^{\dagger l} R_3^3,$

neutron, spin projection k : $n_k^*(R) = \sqrt{8} \epsilon_{kl} R_2^{\dagger l} R_3^3,$

Δ^{++} , spin projection $+\frac{3}{2}$: $\Delta_{\uparrow\uparrow}^{++*}(R) = \sqrt{10} R_1^{\dagger 2} R_1^{\dagger 2} R_1^{\dagger 2},$

Θ^+ , spin projection k : $\Theta_k^*(R) = \sqrt{30} R_3^3 R_3^3 R_k^3, \quad \left(\times \left(R_3^3 \right)^{N_c-3} \right)$

Baryon wave functions in terms of quarks

If the coherent exponent with $Q\bar{Q}$ pairs is ignored, one gets the 3-quark Fock component of the octet and decuplet baryons. It depends on the quark “coordinates” $\mathbf{r}, \alpha, f, \sigma$ and on the baryon spin projection k .

For example, the neutron 3Q wave function is

$$\begin{aligned} (|n \rangle_k)^{f_1 f_2 f_3, \sigma_1 \sigma_2 \sigma_3}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) &= \epsilon^{f_1 f_2 f_3} \epsilon^{\sigma_1 \sigma_2 \sigma_3} \delta_2^{f_3} \delta_k^{\sigma_3} h(r_1) h(r_2) h(r_3) \\ &+ \text{permutations of } 1, 2, 3 \quad (\otimes \epsilon^{\alpha_1 \alpha_2 \alpha_3}). \end{aligned}$$

It is better known in the form

$$\begin{aligned} |n \uparrow \rangle &= 2 d \uparrow(r_1) d \uparrow(r_2) u \downarrow(r_3) - d \uparrow(r_1) u \uparrow(r_2) d \downarrow(r_3) - u \uparrow(r_1) d \downarrow(r_2) d \uparrow(r_3) \\ &+ \text{permutations of } r_1, r_2, r_3, \end{aligned}$$

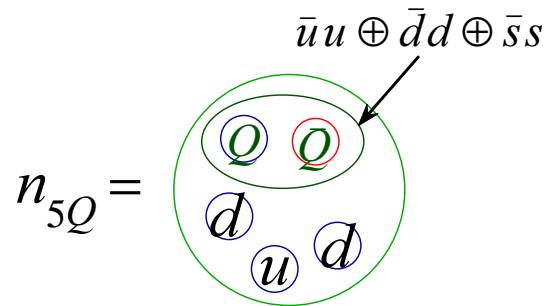
which is the well-known non-relativistic $SU(6)$ wave function of the nucleon!

There are relativistic corrections to the $SU(6)$ -symmetric formulae, arising from i) lower p-wave component of the discrete level, ii) additional $Q\bar{Q}$ pairs. Both effects are not small.

The 5Q component of a baryon is obtained when one expands the coherent exponent to the first, linear order and then projects it onto the concrete baryon in question. For example, the 5Q component of the **neutron** has the wave function

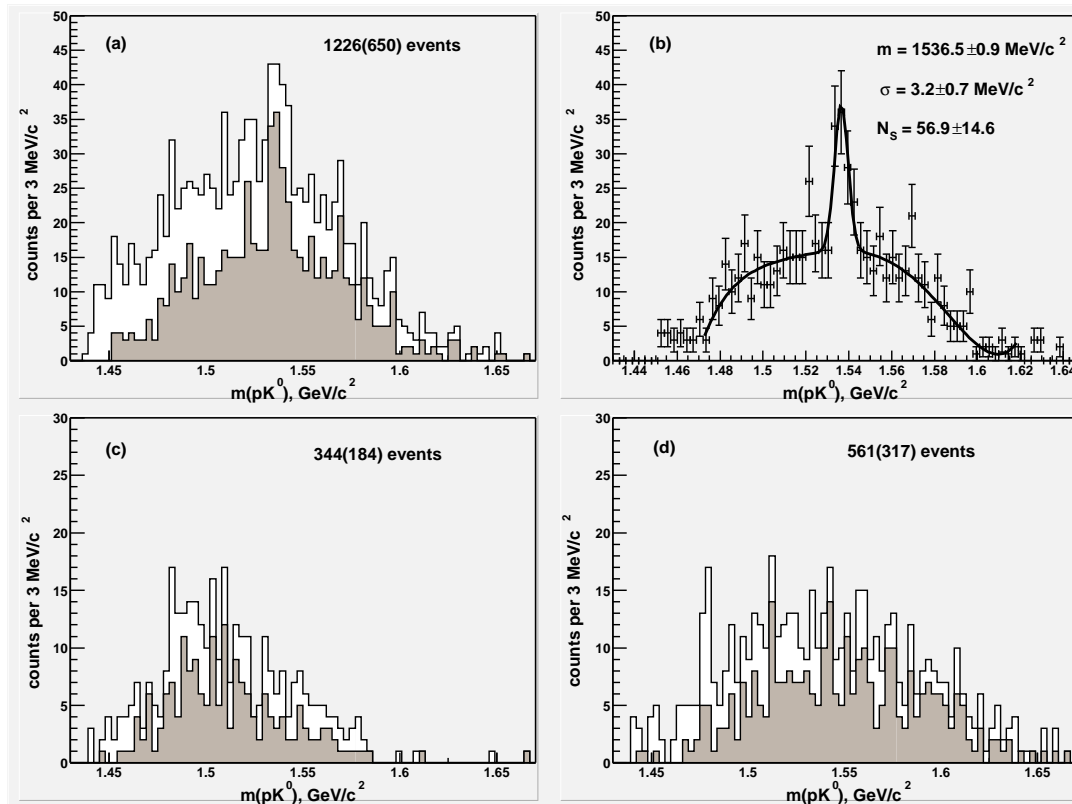
$$\begin{aligned}
 & (|n \rangle_k)_{f_5, \sigma_5}^{f_1 f_2 f_3 f_4, \sigma_1 \sigma_2 \sigma_3 \sigma_4}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3, \mathbf{r}_4, \mathbf{r}_5) = h(r_1)h(r_2)h(r_3) W_{j_5 \sigma_5}^{j_4 \sigma_4}(\mathbf{r}_4, \mathbf{r}_5) \\
 & \cdot \left\{ \epsilon^{f_1 f_2} \epsilon_{j_1 j_2} \left[\delta_2^{f_3} \delta_{f_5}^{f_4} \left(4 \delta_{j_4}^{j_5} \delta_{j_3}^{k'} - \delta_{j_3}^{j_5} \delta_{j_4}^{k'} \right) + \delta_2^{f_4} \delta_{f_5}^{f_3} \left(4 \delta_{j_3}^{j_5} \delta_{j_4}^{k'} - \delta_{j_4}^{j_5} \delta_{j_3}^{k'} \right) \right] \right. \\
 & + \left. \epsilon^{f_1 f_4} \epsilon_{j_1 j_4} \left[\delta_2^{f_2} \delta_{f_5}^{f_3} \left(4 \delta_{j_3}^{j_5} \delta_{j_2}^{k'} - \delta_{j_2}^{j_5} \delta_{j_3}^{k'} \right) + \delta_2^{f_3} \delta_{f_5}^{f_2} \left(4 \delta_{j_2}^{j_5} \delta_{j_3}^{k'} - \delta_{j_3}^{j_5} \delta_{j_2}^{k'} \right) \right] \right\} \epsilon_{k' k} \epsilon^{j_1 \sigma_1} \epsilon^{j_2 \sigma_2} \epsilon^{j_3 \sigma_3} \\
 & + \text{permutations of } (1, 2, 3)
 \end{aligned}$$

Indices 1-3 refer to quarks at the discrete level, 4 refers to the quark in the additional pair, and 5 refers to the antiquark in the pair. $\delta_{f_5}^{f_3} \sim s\bar{s} + u\bar{u} + d\bar{d}$.

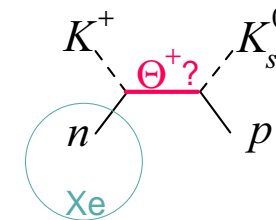


Additional $Q\bar{Q}$ pair is a combination of partial waves corresponding to the scalar, pseudoscalar, vector and axial mesons. The partial waves depend separately on the Q, \bar{Q} coordinates $\mathbf{r}_{4,5}$, see [hep-ph/0408219](#), [hep-ph/0505201](#).

New analysis of the **formation** reaction $K^+n(\text{Xe}) \rightarrow K^0p$ at ITEP
 [A. Dolgolenko et al. (2006), hep-ex/0603017]



Mass distribution in the range of the incident K^+ momenta where the Θ^+ resonance **can be** formed due to Fermi motion.



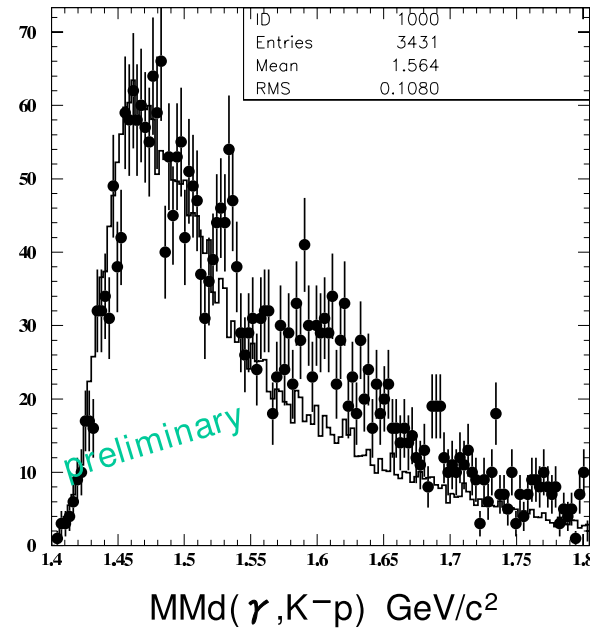
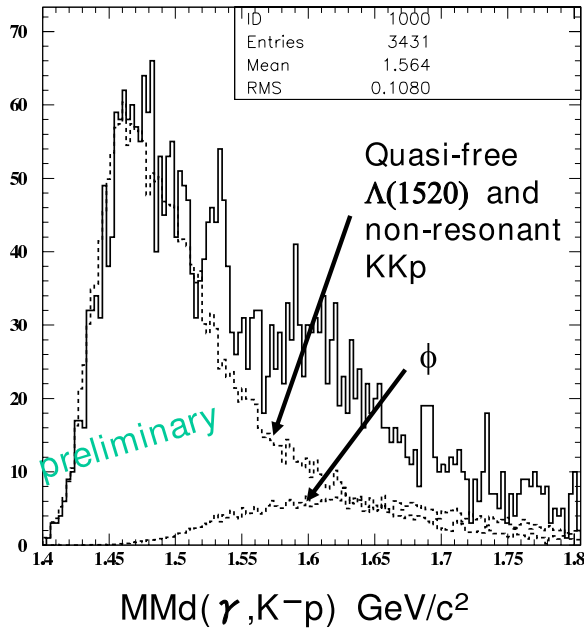
Mass distributions for the range of incident momenta where Θ^+ **cannot be** formed or is suppressed kinematically.

This is the **only direct formation experiment**. BELLE (R. Mizuk) came with an upper limit for Θ^+ production, which does not contradict a very small Θ^+ width obtained by Dolgolenko et al.,

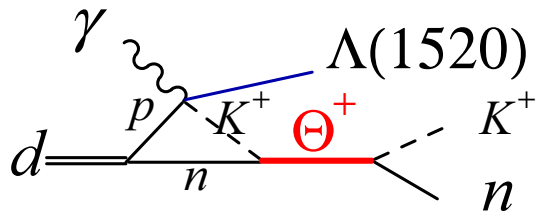
$$\Gamma_{\Theta} = 0.36 \pm 0.11 \text{ MeV!}$$

A *quasi-formation* experiment where a quasi-free K^+ is scattering on a quasi-free neutron inside a deuteron: T. Nakano et al. at SPring-8

K^-p missing mass for $\Lambda(1520)$

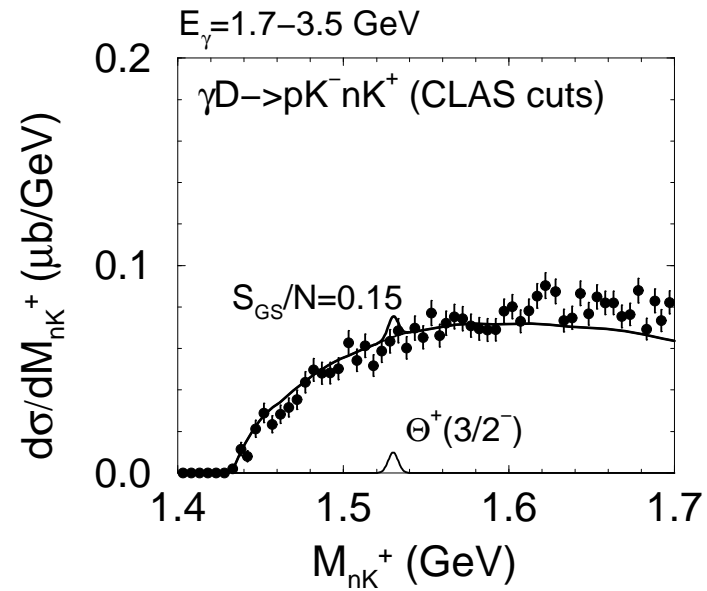
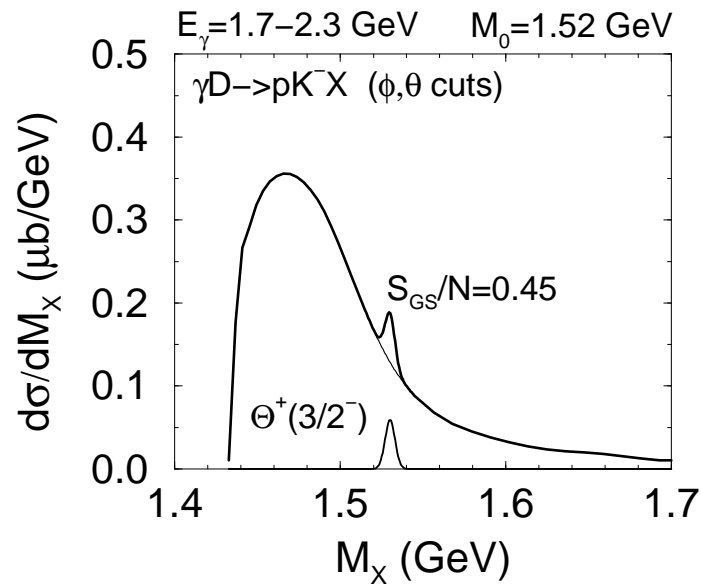


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Why Nakano et al. see the Θ^+ peak whereas CLAS@ JLab does not?

In both cases it is the γd reaction but the kinematics and the detector acceptance are different. A. Titov (Dubna, 2006) made a calculation specific for both experiments:



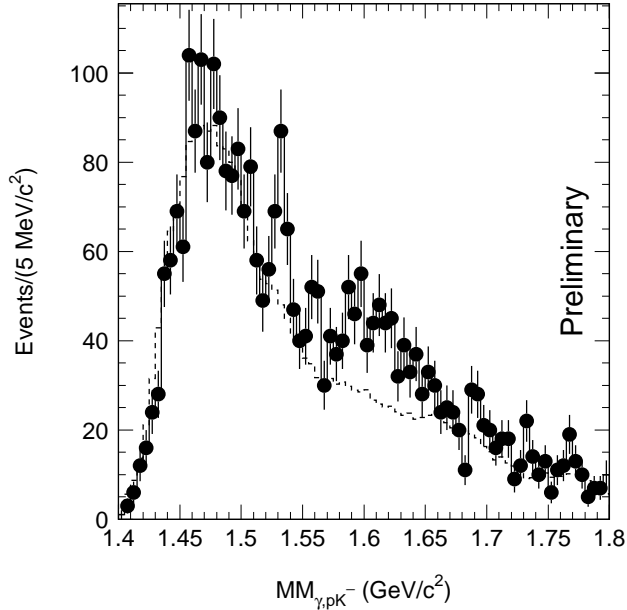


Figure 7. The LEPS data on deuterium. The missing mass distribution $MM_{\gamma, K-p}$ with events selected with the invariant mass M_{K-p} near the Λ^* . A peak is seen at a mass of 1530 MeV, and is interpreted as the Θ^+ .

lected with $M_{K-p} \sim M_{\Lambda^*}$, indicating that the process $\gamma D \rightarrow \Lambda^* \Theta^+$ may be observed. The mass distribution also shows an excess of events near 1600 MeV/c².

5.4 Results from Belle

$qqqq\bar{q}$

New results by the Belle collaboration have

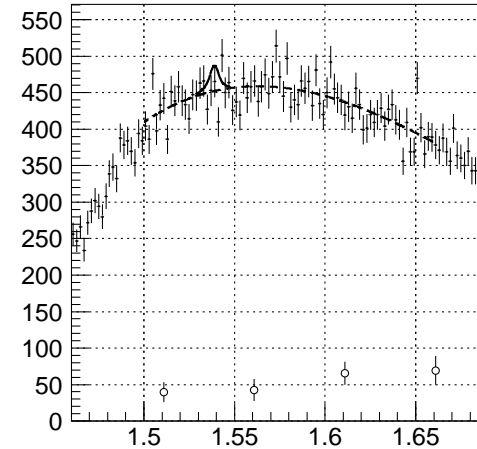


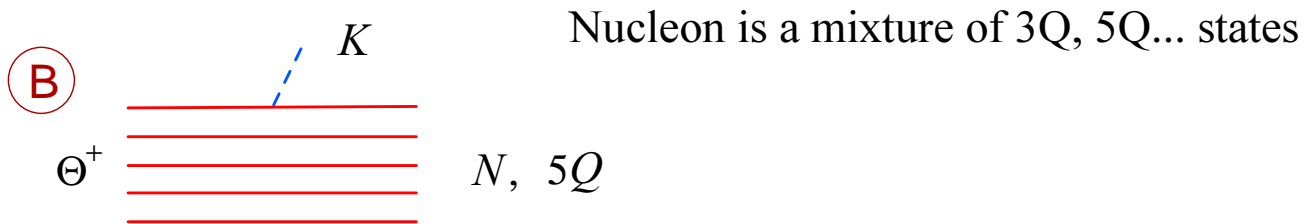
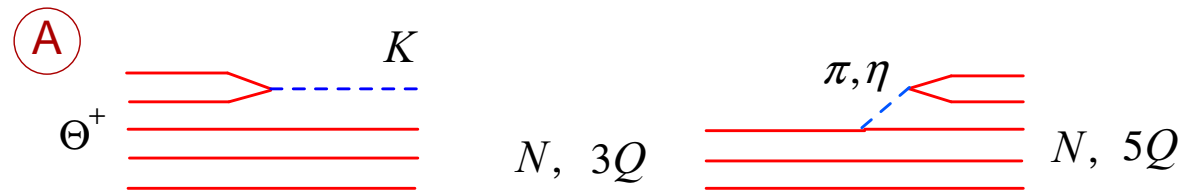
Figure 8. The Belle pK_s^0 mass spectrum.

per limit would be $\Gamma_{\Theta^+} < 1$ MeV (90% c.l.). The latter value confirms the limit derived in previous analyses.

5.5 BaBar results in quark fragmentation

The BaBar collaboration at SLAC searches for the Θ^+ as well as the Ξ^{--} pentaquark states directly in e^+e^- collisions²⁴, mostly in the quark fragmentation region. With high statistics no signal is found for either Θ^+ (1540) or Ξ^{--} (1862), and upper limits

Θ^+ decay



Nucleon is a mixture of 3Q, 5Q... states

Both processes contribute in the decay

Both diagrams A and B contribute to the decay, and they depend on the reference frame; only their sum is Lorentz invariant.

In the Infinite Momentum Frame (IMF) only the second diagram B survives, as vector and axial currents with a finite momentum transfer do not create or annihilate quarks with infinite momenta. The baryon matrix elements are thus non-zero only between Fock components with **equal number** of quarks and antiquarks.

Normalization of the $3Q$ and $5Q$ components

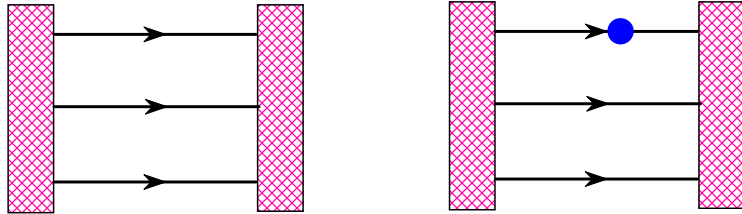


Figure 5: Graphs showing the normalization of a 3-quark component of a baryon (left) and the matrix element of a local operator denoted by a circle (right).

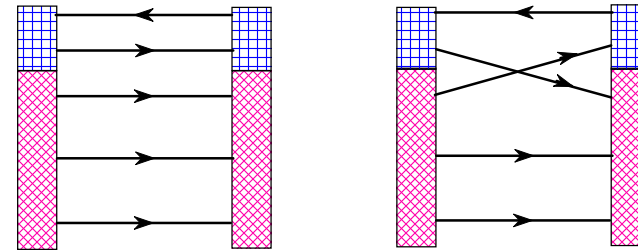


Figure 6: Direct (left) and exchange (right) contributions to the normalization of the 5-quark component of a baryon. The upper rectangles denote $Q\bar{Q}$ pairs.

$$\mathcal{N}_N^{(3)} = 1, \quad \mathcal{N}_N^{(5)} \approx 0.4!$$

Momentum carried by antiquarks in the nucleon at low virtuality, roughly,

$$\frac{0 \cdot 1 + \frac{1}{5} \cdot 0.4}{1 + 0.4} \approx 6\%$$

Baryon matrix elements related to the $5Q$ components

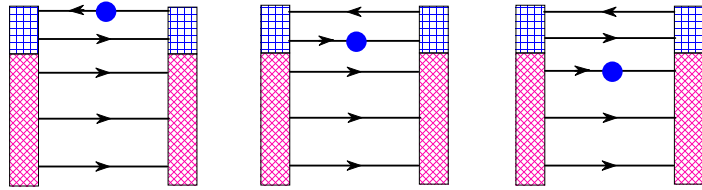


Figure 7: Direct contributions to the matrix element of an operator computed by [DD and Petrov \(2005\)](#).

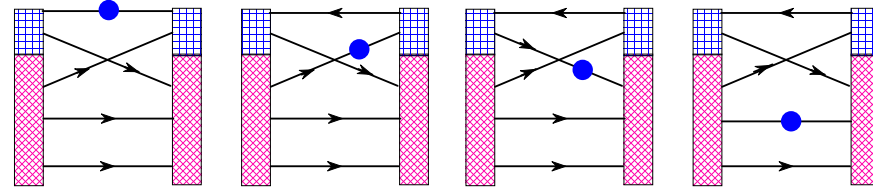


Figure 8: Exchange contributions to the matrix element in the 5-quark component of a baryon computed by [Lorcé \(2006\)](#).

Observables

$$g_A^{(3)}(N) = \frac{A^{(3)}(N)}{\mathcal{N}^{(3)}(N)} = \frac{5}{3} = 1.67 \quad (g_A(N)^{\text{exper}} = 1.27)$$

$$g_A^{(5)}(N) = \frac{A^{(3)}(N) + A^{(5)}(N) + \dots}{\mathcal{N}^{(3)}(N) + \mathcal{N}^{(5)}(N) + \dots} = 1.44 \quad (1.32 \text{ when all } \bar{Q}Q \text{ pairs are summed up})$$

$$g_A^{(5)}(\Theta \rightarrow KN) = \frac{A^{(5)}(\Theta \rightarrow KN) + \dots}{\sqrt{N_\Theta^{(5)}} + \dots \sqrt{\mathcal{N}^{(3)}(N) + \mathcal{N}^{(5)}(N) + \dots}} = 0.15 \implies \Gamma_\Theta = 2.3 \text{ MeV} !!$$

This is computed as if the decay is with zero momentum transfer. In reality the width must be **smaller** due to additional formfactor suppression.

Conclusions

1. Ordinary baryons are **not** made of 3 quarks only but have a substantial component with the additional $\bar{Q}Q$ pairs. In particular, $1/3$ of the time the nucleon is made of 5 quarks. For some observables, the effect is 20% but for some other it changes the naive $3Q$ result by a factor 3-4.
2. One adds additional $\bar{Q}Q$ pairs in the form of nearly massless Goldstone bosons, which costs little energy. This is why the $5Q$ component of the nucleon is large, and why Θ^+ is light!
3. We have presented a compact and universal technique, how to write explicitly the $3Q, 5Q, 7Q\dots$ wave functions of the octet, decuplet and antidecuplet baryons, based on the Relativistic Mean Field Approximation.
4. The exotic Θ^+ width is proportional to the number of additional $\bar{Q}Q$ pairs in *nucleons* and is thus naturally suppressed as compared to the expected widths of baryons with the dominant $3Q$ component. No-free-parameter estimate: $\Gamma_{\Theta} \sim 2 \text{ MeV}$, and can easily be less!

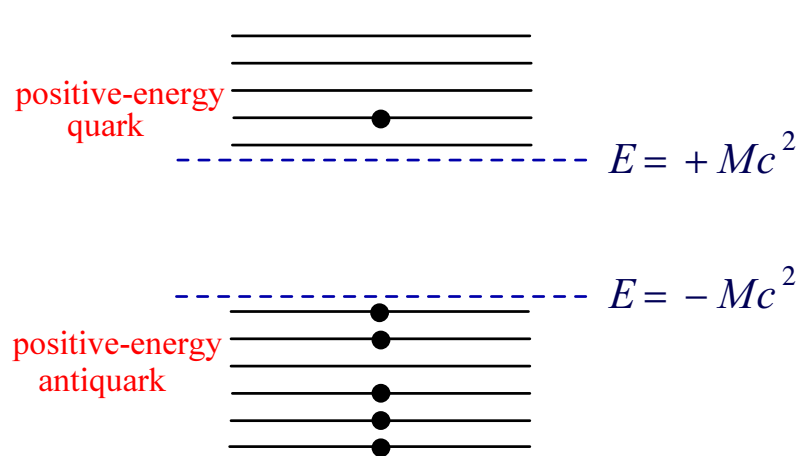


Figure 9: Vector, axial, tensor mesons are particle-hole excitations of the vacuum. They are made of a quark with positive energy and an antiquark with positive energy, hence their mass is roughly $2M$.

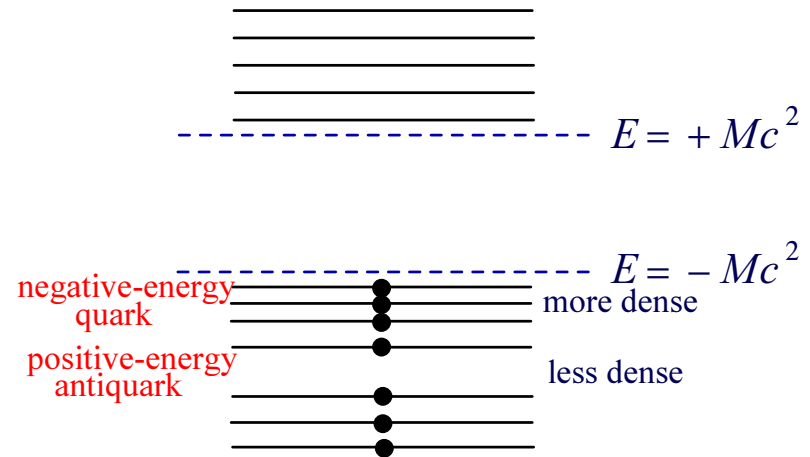


Figure 10: Pseudoscalar mesons are *not* particle-hole excitations but a collective re-arrangement of the vacuum. They are made of an antiquark with positive energy and a quark with *negative* energy, hence their mass is roughly zero, $(M - M) = 0$.